



NUPIReport [2008]

Evaluation Report Save the Children Guatemala

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*Norwegian Institute of International Affairs (NUPI)
October, 2008*

Utgiver: NUPI
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ISBN: 978-82-7002-207-6

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Evaluation Report

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Axel Borchgrevink
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[Abstract] Save the Children Norway (SCN) is closing down its office in Guatemala, in order to let Save the Children Guatemala (SCG) be the representative of the Save the Children Alliance in the country. This evaluation assesses the institutional capacity of SCG just before hand-over. The report concludes that the organization has improved its administrative and organizational capacity significantly over the last years, while program implementation continues to be its strongest area. Fundamental challenges for SCG remain in terms of diversifying and increasing income sources to reduce its economic dependency on SCN, and in terms of developing an overall communication strategy.

Keywords: Evaluation, child rights, development cooperation, civil society, Guatemala

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Executive Summary

Save the Children Norway (SCN) has been working in Guatemala since the 1976 earthquake, with an in-country office throughout practically the whole period. Among other partners, SCN has been cooperating with Save the Children Guatemala (SCG) since it was founded in 1983. In 2003, SCN decided to support the SCG ambition of becoming *the* Save the Children organization representing the SC Alliance in Guatemala. For SCN, this decision implied on the one hand phasing out direct support to other Guatemalan partner organizations and closing down its country office by 2009. On the other hand, it also meant strong support for the SCG process of institutional strengthening, in order to enable the organization to realize its added responsibilities in the best possible manner.

As agreed in the 2006-2008 cooperation agreement between SCN and SCG, an external evaluation of SCG should be held by the end of the cooperation period, in order to serve as the basis for discussions on future cooperation. Comprehensive Terms of Reference were developed by SCN with three main objectives:

- Assess the current organizational capacity of SCG
- Evaluate the impacts of SCN support for building organizational capacity in SCG
- Identify needs for further support and make recommendations for focus, design and scope of future support from SCN to SCG

The emphasis of the evaluation is on learning. It is a participatory evaluation in the sense that one representative of SCG has been part of the team. Fieldwork in Guatemala took place between September 2nd and 12th 2008.

FINDINGS

Organization

In terms of the SCG governance structure, there is still some way to go before the SC Alliance guidelines of best practices are adhered to. Yet, the process is moving in the right direction. The Board of Directors is increasingly involved in the strategic management of the organizations, and mechanisms to ensure proper selection and rotation of board members are gradually being improved. SCG might consider whether its current administrative structure is the optimal for promoting an efficient division of labor and use of resources.

In terms of strategic planning, the elaboration of the 2006 strategy document implied a significant step forward. It is a strategy document that is focused, rights-oriented and that is being used in practice. SCG has also exhibited a planned approach to overcome its organizational weaknesses, and to assume the responsibilities associated with being the representative of the SC Alliance in Guatemala. However, there are also clear gaps in strategic thinking. Most serious is the lack of immediate attention to the issues of

long-term financial sustainability, the danger of building a too large administrative structure, the limited advances in terms of fundraising, and the growing dependence on one donor. Moreover, the evaluation team sees it as a strategic weakness that there have been no attempts at developing an overall communication strategy.

SCG has greatly strengthened its administrative capacity, not only in terms of internal management of staff, funds and other resources, but also with respect to fulfilling donor requirements for producing plans, accounts and reports on time. Today the organization appears solid and dependable – even if not exactly ‘lean and mean’.

With the help of the SC organizations of Norway, Sweden and Denmark, SCG has been able to assume many of the responsibilities of being the SC representative in Guatemala. The relation to SC USA is more complicated. While positive coordination mechanisms giving key responsibility to SCG are in place in some areas (education, emergency response), the continuing operative role of SC USA creates difficulties for the image building of SCG. Should SC USA engage in fundraising and lobbying inside Guatemala, such problems would be greatly increased. Understandably SCG views this with considerable concern.

Programs

We concur with previous assessments that this is an area of strength of SCG. Programs are based on a clear and rights-based strategy, where components complement and mutually strengthen each other, and there is considerable focus on making state institutions assume their obligations with respect to key child rights, partly through working in broad alliances with other civil society organizations. The strategy moreover emphasizes child participation and contains an exit strategy from the time of entry into an area. Furthermore, programs are implemented by staff that seem well qualified, motivated and committed, and at the local level, excellent relations have been established with local authorities.

There are still a number of areas where SCG can improve its programs. Perhaps most importantly this relates to how to work with the local development councils (COCODES). These voluntary councils are central for the program strategy, yet in practice prove to be difficult to reach with the current model of technicians working normal hours. Furthermore, in the near future, SCG will have to assess the costs and benefits of the innovative and interesting, yet challenging model of working through the municipality, which is being tried out in Chiquimula, and decide whether this model should be scrapped, modified, and/or extended to other areas. Finally, there is still a considerable distance to go before the program monitoring and evaluation system is in place and functioning.

Communication

While the program area is the strong side of SCG and the organizational side has advanced significantly, the communication area must be said to be lagging behind. There is a need for developing an overall strategy that covers and integrates the objectives, activities and targets for the four separate, but highly interrelated areas of image building, advocacy, awareness-raising and fund-raising.

In terms of image building, the SCG is well-known and has a good reputation among NGOs and state institutions working with child rights and related issues. In spite of some increase in media coverage over the last years, the organization remains relatively little known among the general public. A serious complication for building the desired image of the organization is the continued presence and activity of SC USA. Also the fact that the name of the organization is in English is an issue which makes it more challenging to communicate what SCG is.

In advocacy and lobbying, SCG is doing a lot at the local level. At national level, efforts are weaker. Here, SCG follows the strategy of working together with other organizations, and belongs to a number of networks that are highly relevant for its objectives. However, the activity levels of SCG within these networks appear to have been fairly low over the past few years. SCG has not developed any strategic focus on key issues for concentrating lobbying and advocacy efforts.

In awareness-raising, the SCG programs have considerable effects at the local level. There is little attention to doing such work at the national level. Given the many challenges faced by SCG, this is probably a wise way of prioritizing resources.

Fundraising is an area where little has been achieved. Indeed, from one perspective developments have been negative, as the number of international donors has been reduced, while fundraising from national sources remain negligible. This is a priority area where SCG needs to dedicate efforts immediately. In the short to medium term, it is realistically only international funding that can significantly alter the current financial dependence on one donor. SCG possesses the basic skills for developing proposals, but need to do this with much greater intensity and upgrade skills at donor mapping and communication. In terms of national fundraising, there have only been limited advances in spite of the efforts made, probably largely due to factors external to SCG. The team believes that there is a potential for developing fundraising within Guatemala, but this poses a dilemma. In order to pursue this strategy, there is a need for continued and perhaps increased support for this component. Yet there is no guarantee that this strategy will be successful and that the investment will pay off.

Channeling funds to other Guatemalan organizations

This is something that SCG already has some experience with, after having had this role on behalf of the SC organizations of Denmark and Sweden. Even if this activity will be considerably expanded when also funds from SCN are transferred in this way, we do not foresee any difficulties for SCG in handling the technical side of this. The challenge will be how to develop the role as a funding partner over and above the channeling of funds and following up reports and accounts. Furthermore, SCG needs to make a strategic decision on whether this is a role that it wants to take on permanently, and follow up the implications of this decision.

Impacts of the SCN support

Over the period of SCN support for organizational strengthening, SCG has advanced significantly. Still, many of the targets for the institutional support

program have not been met. We see this as primarily reflecting over-ambitious goals.

While the specific contribution of SCN support cannot be singled out, it is clear that SCN funding has been fundamental for many of the improvements that have taken place. Likewise, the ‘political’ support that SCN has given SCG within the SC Alliance has been of crucial importance for SCG to assume the role as the national representative.

However, the SCN support has also contributed to an institutional strengthening process of a form that has given too little attention to issues of long-term financial sustainability of the organization.

MAIN RECOMMENDATIONS

For SCG

1. The most important challenge facing SCG relates to the financial issues of very high dependence on one donor and the problem of long-term economic sustainability of a large administrative structure. SCG needs to address these issues immediately and seriously. Efforts must be made in the following areas:
 - a. International fundraising.
 - b. National fundraising.
 - c. Cost-cutting.
2. SCG should develop an overall communication strategy. This should include fundraising – both national and international – as well as image building, advocacy and awareness-raising.
3. The dialogue with SC USA should be continued and seek to establish ways of minimizing confusion and negative impacts on SCG image building and fundraising from the existence of two SC organizations in the country.
4. SCG needs to make a strategic decision on whether it wants to be a funding organization.

For SCN

1. SCN should consider carefully the implications of its co-responsibility for developing an administrative structure within SCG that may be difficult to sustain without continued Norwegian core funding. A future strategy for supporting SCG must combine acceptance of responsibility for what has been developed with efforts focused at creating sustainability.
2. SCN should support SCG in implementing the recommendations above. This means supporting the costs of developing international and national fundraising capacity, as well as costs of developing an overall communication strategy.

3. In addition to funding for these processes, SCN should seek to contribute to developing the skills needed in the areas of fundraising and general communication.
4. SCN should seek to use its position and influence within the SC Alliance to obtain agreements with SC USA that will minimize the problem of having a foreign SC organization working in a country where there is a functioning national organization.

1. Introduction

1.1 Background

Save the Children Norway (SCN) has been working in Guatemala since the 1976 earthquake, with an in-country office throughout practically the whole period. Among other partners, SCN has been cooperating with Save the Children Guatemala (SCG) since it was founded in 1983. The organization previously bore the name Alianza para Desarrollo Juvenil Comunitario (ADEJUC), but was identified as a member of the Save the Children Alliance and used 'Save the Children Guatemala' as an additional name on its letterhead. In 2004 the organization changed its name to the current Save the Children Guatemala. In order to avoid confusion, this report will consistently refer to the organization as SCG, even when dealing with the period before 2004 when it was known as and presented itself as ADEJUC.

In 2003, SCN decided to increase its support for the SCG ambition of strengthening its role within the international Save the Children Alliance, an ambition which included taking on the role as *the* Save the Children organization representing the Alliance in Guatemala. For SCN, this decision implied on the one hand phasing out direct support to other Guatemalan partner organizations and closing down its country office by 2009. On the other hand, it also meant strong support for the SCG process of institutional strengthening, in order to enable the organization to realize its added responsibilities in the best possible manner. Also program support has increased over the period, and SCN has expressed its commitment to maintaining levels of support for SCG also in coming years. Thus, SCN has become the by far most important donor of SCG.

1.2 Save the Children Guatemala

SCG has evolved considerably over the years, from being a community development organization with a certain focus on women and youth, into becoming an organization with a very clear rights orientation and an explicit targeting of children and youth. This has been a gradual transformation over more than a decade. For understanding the context of the present evaluation, it is important to point out that the organization went through a period of deep crisis in 2001-2003. This was a crisis of several dimensions. At the leadership level, it involved tensions between the Board and the Director, and led to the latter being replaced in 2002 by the current Director. At a staff level, it involved serious conflicts between staff members, as well as deficient lines of communication within the organization. There was also a strategic or ideological element to the crisis, related to disagreements over the appropriateness of being engaged in food distribution through the large USAID-funded PROMASA program. SCG also had difficulties in implementing this program efficiently, and progress was far behind plans. There were also significant problems in fulfilling donor requirements for this and other partnerships, particularly with respect to financial reporting. When in 2003 the PROMASA contract was terminated by the donor due to the delays

in implementation, serious economic problems arose. As a consequence, SCG was forced to reduce the number of employees.

In the years since this low point, the situation has changed considerably. Since 2002, the current Director and the Board have cooperated well. The serious staff conflicts were resolved, among other things by letting some people go as part of the process of downsizing. The loss of the PROMASA project made the economic situation difficult, but it did help resolve the ideological conflict within the organization, and also aided the process of transition to a purely rights-oriented organization. Institutional support from the SC organizations of Sweden (2003-2005) and Norway alleviated the economic crisis and has led to a general strengthening of the SCG administration.

A key element of the Norwegian support to institutional strengthening is the Member Development Programme Partner (MDPP) Program. This has been designed with a view to overcoming the organizational challenges faced by SCG, as well as to the requirements for becoming a 'Strong Member' of the Save the Children Alliance. The program document sets out specific targets and milestones for achievements throughout the program period 2007 to 2009, and is enclosed to the present report as Appendix 2.

Currently SCG implements its programs in four program areas with individual field offices – Chiquimula, Livingston, Canilla and Tacaná. The programs comprise different components that are all aimed at promoting child rights and empowering local communities. They include interventions targeted at children, youth, mothers, teachers and local community development organization (COCODES). Furthermore, as part of the programs, SCG organizes civil society alliances for promoting child-oriented municipal development plans.

1.3 The evaluation

The SCN office in Guatemala will close down in March 2009. As agreed in the 2006-2008 cooperation agreement between SCN and SCG, an external evaluation of SCG should be held by the end of the cooperation period, in order to serve as the basis for discussions on future cooperation. Comprehensive Terms of Reference for the evaluation were developed by SCN (see Appendix 1). Fundamentally, three objectives were included:

- Assess the current organizational capacity of SCG
- Evaluate the impacts of SCN support for building organizational capacity in SCG
- Identify needs for further support and make recommendations for focus, design and scope of future support from SCN to SCG

The Terms of Reference lists a number of different areas to be investigated with respect to these questions. Furthermore, reference is made to the goals and indicators of the institutional development program (see Appendix 2), which specify further issues to be looked into. The following list summarizes the most important areas the team is asked to look into

- The effectiveness of internal organization and administration
- The capacity to produce plans, reports and accounts on time

- The management of Human Resources
- The systems for delegating authority to ensure smooth operations
- The relations between SCG's head office and its field offices
- The development of a more active and better institutionalized Board of Directors
- The establishment of a fundraising unit
- The capacity to implement programs
- The development of improved systems for monitoring and evaluation of programs
- The capacity to scale up activities and take on new commitments
- The capacity to create and work with alliances, and to work with other civil society and government institutions
- The capacity to do national level advocacy work
- The ability to integrate a Child Rights based approach in all aspects of its work
- The ability to address imbalances related to issues of gender and indigenous peoples in the programs
- SCG's coordination of the Alliance's international campaign for education in conflict and post-conflict countries 'Rewriting the Future'
- The impact of contextual factors for SCG and its programs
- The capacity to channel and follow up funding from SCN to other Guatemalan SCN partner organizations

Thematically, it was decided to focus on the education programs of SCG, which is the largest program area of the organization. It was also decided to include visits to the field offices of Chiquimula and Livingston. The emphasis of this evaluation is on learning: how to further strengthen the capacity of SCG and how to target future support from SCN in order to achieve this. Conversely, there is less emphasis on the evaluation aspects of control and audit. This is also reflected in the composition of the evaluation team, which consists of two external members (one Norwegian and one Guatemalan consultant), plus one internal member representing the SCG staff. Axel Borchgrevink, anthropologist and senior researcher at the Norwegian Institute of International Affairs, has been the team leader. He has broad experience with civil society development cooperation, including some previous engagement with SCN in Guatemala. Miriam Bolaños is the other external consultant, with a wide experience of Guatemalan civil society organizations and development cooperation, among other things within the fields of indigenous peoples and intercultural and bilingual education. Rubelci Alvarado, head of SCG's program department, and with two decades of experience within the organization, completed the team with the knowledgeable insider's perspective.

Initial interviews with SCN staff in Norway were carried out by the team leader in late August 2008. Actual fieldwork in Guatemala took place between September 2nd and 12th. It included interviews with a broad range of SCG staff at the head office, with different SCN staff which have been working closely with the SCG, and with field office staff and program stakeholders. The latter include representatives of different beneficiary groups – children, youth, women, and teachers – as well as municipal employees and elected officials. An interview was also made with two representatives of the

SCG Board of Directors. Furthermore, a range of different institutions with which SCG cooperates and coordinates were interviewed. This included Save the Children USA, a number of NGOs at local and national level, a number of networks and alliances where SCG participates, as well as UNICEF and the Vice-Ministry for Intercultural and Bilingual Education within the Ministry of Education. (See Appendix 3 for a complete list of persons and institutions met.)

As always, there are methodological limitations to the evaluation that should be pointed out. As is evident from the above, the objectives of the evaluation are very broad, and the time allotted for fieldwork is relatively limited. This means that all areas cannot be covered in similar depth. In setting the priorities for where to dig deeper, we have attempted to focus on the main objectives of the evaluation. The Terms of Reference give priority to assessing organizational capacity over investigating the impact of programs, and this is the way we have prioritized our work. Of course we cannot completely ignore the effectiveness of the programs when we are assessing SCG's organizational capacity, but in terms of impacts of programs we can only offer estimates based on the opinions and statements of a limited sample of stakeholders. Furthermore, in the overall spirit of a learning evaluation, we have tended to concentrate on those areas where improvements may be made. Thus this is not necessarily a balanced presentation where strengths and weaknesses are given equal weight. Finally, the composition and competence of the team has some implications for which areas we are able to discuss in depth. As an illustration, while we are not in the position to evaluate the decision on the recent purchase of a new computer program for accounts and finances, we do feel qualified to comment on the new program monitoring and evaluation system that is being developed.

The fact that this is a participatory evaluation in the sense of having in the team a representative of the organization to be evaluated has other implications. It will inevitably affect the information collected. On the one hand, having an insider on the team means that misunderstandings can be quickly cleared up. On the other hand, it will almost certainly have affected what people we interview are willing to discuss and how they talk about it, particularly as Rubelci Alvarado is the superior of the majority of the SCG staff interviewed. This is something we cannot avoid, and we have no way of knowing whether and to what extent it has biased our findings. Anyway, the great advantage of this participatory way of working is that the findings of the evaluation will to some extent be 'internally produced', and they are therefore much more likely to be accepted. Similarly, recommendations should be more liable to be acted upon. In this respect, an important advantage that we have had is that discussions within the evaluation team have been positive and productive. The internal team member has not seen it as his role to defend SCG at all costs, and the process of arriving at joint conclusions has been quite unproblematic.

As we are asked to assess the effects of the SCN support for organizational strengthening, we face the methodological difficulties of establishing both what changes have taken place over this period, as well as the extent to which these changes are due to the Norwegian support. In terms of the first issue, we have the advantages of being able to draw upon a thorough organizational evaluation from 2003, as well as an externally facilitated organizational self-assessment from 2005/2006. These documents thus serve as a

baseline reference, which, when compared to our own assessments of current organizational capacity, allows us to infer what changes have taken place. The question of attribution – i.e. deciding whether observed changes are due to the SCN support or to other factors – is trickier. It is clear that there are a number of factors that have affected organizational development over this period – SCG’s own efforts at improving, and support from Save the Children Sweden, are obvious examples. Identifying the relative impacts of these and other factors, as well as of the SCN support, is methodologically and theoretically an impossible task. Conclusions in this respect must therefore necessarily remain fairly vague.

2. Findings

2.1 Organizational issues

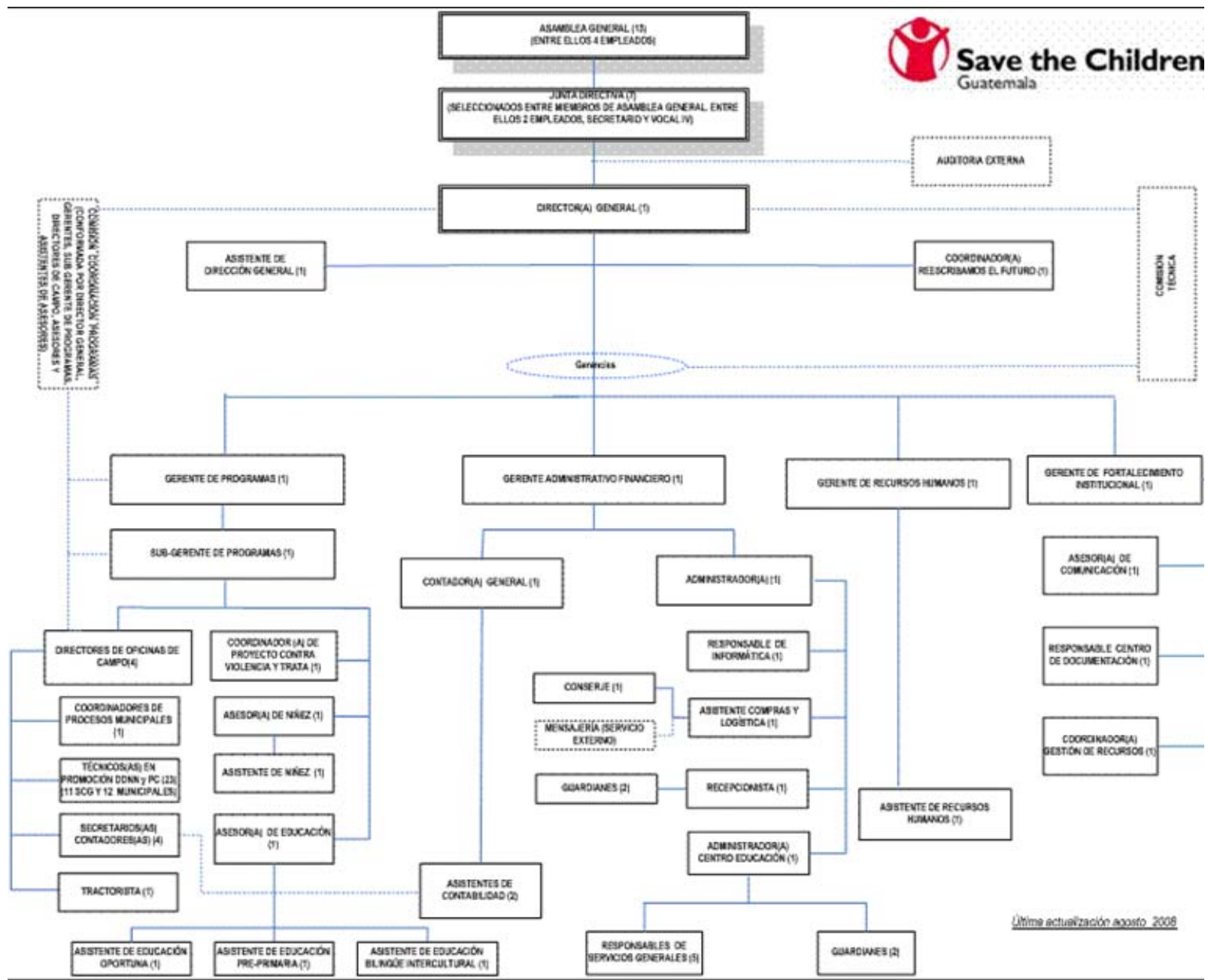
2.1.1 Governance

SCG has a governance structure with a General Assembly as the maximum authority. The Assembly elects the Board of Directors, which oversees the work of the Director General and the rest of the SCG administration. The 2003 evaluation and the 2005/6 self-assessment both identify problems in making this governance structure function according to the principles of the Save the Children Alliances Best Practices. According to these assessments, the Board has been

- a) little involved and not assuming its responsibilities for overseeing the organization
- b) dominated by the staff members of the Board (according to SC Best Practices, staff members ought not to sit on the Board at all)
- c) lacking knowledge of the proper functions of a Board, as well as of specific issues such as financial management where Board control is particularly important
- d) recruited from too small a pool (the General Assembly) to ensure rotation and necessary competence

At the same time, the documents recognize the difficulties in Guatemala of finding qualified people who are willing to take on unpaid Board positions, and the consequent need to adapt the structure to local conditions.

The MDPP sets out a number of milestone targets for revising the board structure. Some of them have been carried out according to plan. Thus, a new Board was established in March 2007, with the required replacement of half its former members. Similarly, a number of training workshops have been carried out for Board and Assembly members, and the Board vice-president and president participated in the 2006 and 2007 annual meetings of the international Save the Children Alliance respectively. Moreover, the Board has become increasingly active – evidenced for instance by its engagement in dialogue with Save the Children USA, and Board members have expressed their commitment to participate in fundraising activities. What appears not to have been implemented is the elaboration of a ‘Development Plan’ for the Board of Directors (planned to be completed by 31.12.2007).



There are still some structural problems to be addressed with regards to the Board. Firstly, two staff representatives (Director and Program Director) remain full Board members with voting rights, among a total of nine members. (Up to 2003, 50% of Board members were recruited from the staff.) SCG plans to end this practice with the change of Board members in the first half of 2009, after which no staff members will have voting rights on the Board. Secondly, the SCG bylaws state that Board Members are to be elected among the members of the General Assembly. Board members are elected for two years and can be reelected only once. As currently the General Assembly counts with only 13 members (appointed for life) – of which four are employees of SCG¹ – it is in practice impossible to have proper rotation according to the bylaws, or to ensure that the Board composition covers all the required areas of competence. SCG has started identifying new potential members for the General Assembly. However, a difficulty is reported to be the lack of people with the appropriate background who willing to accept the responsibility. *SCG needs to address this problem, to ensure adherence to its own bylaws as well as to have a system of democratic governance in accordance with the SC Best Practices.*

SCG is currently organized into a structure of four departments (*gerencias*) below the director (see Fig 1). The logic of this structure is not immediately obvious. Firstly, it is rather lop-sided, with a Department of Administration and Finance of 23 people alongside a Department of Human Resources with only two people. Secondly, it does not seem to fully reflect the internal division of functions within the organization. For instance, the Department of Institutional Strengthening apparently should follow up the development of new mechanisms for personnel management that is handled by the Dept. of Human Resources, the implementation of an ICT plan and system within the Dept. of Administration and Finance, and the development of a new Monitoring and Evaluation system within the Program Department. In sum, to an outsider, the organizational structure may seem to have developed from short-term responses to immediate needs rather than to any longer-term strategy, even though SCG disputes this. SCG has announced that it is looking at this structure and that in the near future the HR Department might be integrated with Administration and Finance. *In addition, SCG might consider giving the department currently termed Institutional Strengthening a clearer profile as a Department of Communication (in line with the logic structuring the present report).*

Another issue emerging from considering the organizational structure refers to the many levels within the organization. Having assistants of education reporting to the education advisor reporting to the assistant program director reporting to the program director who again reports to the director may create unnecessary complicated chains of command and imply a waste of available human resources. This is particularly so because SCG emphasizes the importance of communication following the direct lines of authority and because delegation of authority to lower levels does not seem to be very well developed. This issue also relates to what is mentioned in the 2003 evaluation and the 2005/2006 self-assessment; that the SCG is struggling to overcome a history of very hierarchical and authoritarian leadership. In

¹ Formerly, staff made up 75% of the Assembly, so SCG has been working to address these problems.

short, a centralized and hierarchical way of working may be due to aspects of both organizational structure and organizational culture. It is difficult for the evaluation team to assess whether and to what extent this has a negative impact on the work of SCG. According to the organization, the many levels respond to a wish to minimize the number of persons directly under one leader, and thus indicate decentralization. Yet, in order to strengthen SCG's position as a flexible, rapidly responding and efficient organization, it would be *worthwhile to consider if there are benefits to be had from less hierarchy and more delegation of authority in both the 'cultural' and the structural dimension.*

However, SCG demonstrates considerable ability and willingness to delegate when it comes to the field offices. These are given considerable autonomy to develop and implement their programs within the overall framework set by general budget and program documents. Moreover, monthly meetings at the head office, where all the field office directors take part, together with the SCG leadership and advisors, ensure mutual exchange of information. Visits by the head office advisors to the field offices to give technical backstopping are likewise appreciated. In sum, relations between field offices and head office appear to be good, and quite different from what was indicated as being the situation during the 2003 evaluation: that the central office at that time limited itself to a having controlling role towards field offices, without any additional supportive elements. *One recommendation to make in this context, however, is that the schedule of visits should be adjusted according to the different needs of the various offices.*

2.1.2 Strategic planning

An important milestone in the process of institutional strengthening which the SCG has been going through since the critical period of 2002 is the elaboration of a five year strategic plan in 2005. This plan was developed in a participatory manner, involving the whole staff, and resulted in a strategy that is coherent and concrete, and allows the organization to focus on key issues. SCG has gone through a long transformation from being principally a community development organization to its current orientation as a Child Rights organization. The strategic plan can be seen as a culmination of this process. In the strategic plan, the rights-based approach is clearly expressed in explicit principles and objectives, and corresponding indicators and activities are derived from these fundamental principles.

The ambition of becoming the organization representing the international SC Alliance in Guatemala has probably also aided SCG in developing and maintaining a strategic focus. This ambition has helped to develop the overall rights-orientation within the organization, and the Alliance has provided specific guidelines for organizational Best Practices. Thus, the Alliance and its principles have served SCG both in developing its external program and lobbying activities, as well in its internal organizational development process.

The strategic plan is much more than a document on paper; it is something that is being implemented in practice, thereby transforming the organization. The overall rights orientation is an approach that seems to have been disseminated throughout the organization and adopted by the staff in general. The program strategy and the content of the different program components

adhere closely to the strategy. Likewise, a number of the activities of the strategic plan that relate to organizational strengthening are being implemented – such as development of administrative and financial routines, improved human resource management, consistent use of logo and name, etc. Thus the strategic plan is not just something that looks nice on paper but has no reality – most of its main elements are also being translated into practice.

Still, not all elements of the strategy have been followed up equally well. Most importantly in this respect, there have been few advances in terms of the institutional strengthening goals of ensuring financial sustainability and diversifying sources of income. In fact, and in spite of the strategic goals, the evolution in this area has actually been negative. The overall number of donors has declined, and SCG has become increasingly dependent upon one of them, SCN². In 2008 SCN is covering almost three quarters of the SCG budget. Furthermore, due to the heavy element of core funding of the MDPP program, the weight of Norwegian funding is even greater when it comes to covering salaries – between 80 and 90% of personnel costs are funded by SCN. Given SCN's strong commitment to continue its support, these figures are far from indicating any imminent economic crisis. But in the medium to long term they do constitute fundamental challenges. The challenge is not simply that of securing new donors for programs to replace the role of SCN if and when its support will eventually be reduced at some point in the future. The even more difficult challenge relates to the heavy dependence on core funding, and the corresponding question of whether SCG is building an administrative structure too large and top-heavy to be carried by its programs. The long-term sustainability of this administrative set-up may be questioned. SCG, however, considers the actual size of the administration to be necessary.

There are of course a number of reasons behind this increasing economic dependence on a single donor. To some extent it is a consequence of SCN's decision to support SCG in taking on the role as representative of the Alliance in Guatemala, which has involved substantial increases in the Norwegian funding³. Moreover, while the strategy and the MDPP program have emphasized the importance of developing fundraising within Guatemala, there are a number of external reasons why this work has developed more slowly than planned. When it comes to international funding, there have been some attempts at obtaining funding from new and former donors, in particular through the SC Alliance. However, this strategy has not been pursued with great vigor, something which SCG readily admits to. The reason for this is explicitly stated as being because there is a need 'to set one's house in order first, before one can go out and ask for new funding'. Thus, before soliciting new funds there is a need to ensure that the organization has the capacity to use these funds in a proper way, and to comply with all the requirements attached to the funding. There is of course some validity to this argument. However, it is difficult to accept that it is still being used, after more than five years of systematic institution-building since the 2002 crisis. The fact that SCG continues to argue this way, and that SCN accepts it, indi-

² At the same time, it should be pointed out that the budget has increased significantly over the period – from 8 million quetzals in 2003 to 15 millions in 2009.

³ Another reason for expanded Norwegian funding is the *Rewrite the Future* campaign.

cates a kind of complacency with the current state of affairs that cannot be said to demonstrate far-reaching strategic thinking.

A related area where SCG needs to improve its overall strategic approach is with respect to the wider area of communication. While this can be said to include fundraising, it also covers the fields of image building, lobbying/advocacy and general awareness-raising. SCG would benefit from an overall strategy that links these issues. This issue is discussed further in section 3.3.

2.1.3 Administration

The 2003 evaluation points to great weaknesses in SCG's administrative area. In addition to the issues related to the Board and to a vertical structure, referred to above, the report also mentions deep-set conflicts among the staff, as well as very serious weaknesses in financial control and reporting to donors. The MDPP program sets explicit goals for improvements in the areas of financial management, ICT and human resource management.

On the issue of financial control, SCG has struggled continuously to improve since 2002/2003. With support from SC Sweden, a new, computerized financial management system was introduced. Over the years, the serious deficiencies in financial reporting to donors mentioned in the 2003 evaluation have apparently been overcome. We were only able to interview one of SCG's donors; SCN. They reported significant improvements in producing financial reports on time. Still, the introduction of the financial management system has never been completely successful, largely because it was the creation of an individual consultant, and resulted in heavy dependence on the assistance of this person even for routine operations. This considerably slowed down the process of keeping accounts, and in practice constrained the possibility of getting timely overviews of the total economic situation. A consultant hired in 2007 with funding from the MDPP finally recommended the purchase of a new system. The plan was to install this in January 2008, but due to various factors it has been somewhat delayed. Now, however, the system appears to be up and running, and reportedly, it should be fully functional and having replaced the old system by October 2008. Thus, advances are continuously being made, although at a somewhat slower rate than planned.

Also in general administrative routines, there appear to have been significant advances over the last years. A number of new manuals of procedures have been developed – regulating for instance per diems, management of cash and of accounts, use of vehicles, backup system, budgeting, administration of offices and buildings, and so on. Four of eleven manuals are finalized and approved, seven still in the process of being tested out. The MDPP also specifies a program for developing and implementing a general ICT strategy. The new financial management system implies some advances in this area, but a total strategy for information technology – planned in place by the end of 2007 – has so far not materialized.

Specific goals are singled out in the MDPP for the area of Human Resources. These include developing job descriptions for all positions, a training program for new and old staff members, updated and systematized staff files, new recruitment and induction procedures, and staff development/promotion program and system for performance appraisal. Apart from

the development/promotion program and the appraisal system, all of these elements have been introduced (the appraisal system has been developed, but not yet put into practice). Thus, there have been great advances in the systematization of Human Resource Management.

The 2003 evaluation refers to deep-set conflicts between staff members. In part these conflicts were related to disagreements over whether the food security program PROMASA which was implemented at that time with funding from USAID was really within the strategic approach of SCG. The fact that the people working on this program had higher salaries than the rest of the staff, and operated in different chains of command, did nothing to alleviate the problems. This specific conflict is now past history, as SCG 'lost' this program in 2003. It is also the impression of the evaluation team that SCG has overcome this situation of deep internal conflict.

Still, the high rotation of personnel in the organization is cause for concern. According to figures from the Human Resource Department, 20 staff members (out of a total of 51) left the organization in 2006 and 22 (out of 58) left in 2007. In the first eight months of 2008, the figures look a little bit better – 12 have left out of a total of 59 (with one more having handed in his resignation). Still, the figures are very high, and the constant need for recruiting, training and inducting new people is a heavy drain on the resources of the organization and implies significant losses in terms of efficiency. Together with the fact that there has also been external recruitment for newly created positions, it is not surprising that the median period of employment in SCG among the senior and technical staff that the team interviewed appeared to be about a year. The reasons behind the high turnover are not clear. Salaries may be one important factor. Even though SCG wages appear to be in line with the norm among Guatemalan NGOs, it is possible that working requirements (such as knowledge of English) are more in line with requirements within international NGOs, where salaries are higher. It is also possible that as SCG is assuming the role of representing the Alliance in Guatemala, it is gradually acquiring a profile somewhat different from national NGOs, and that staff therefore may start comparing salary levels with international organizations. However, SCG should also be open for the possibility that there may be other reasons behind the high rate of rotation. In other contexts it has been seen that where staff feel they are able to realize themselves and their skills, they may stay on even if salaries are not particularly competitive. Thus, the SCG decision to make a *study of the work environment* in the organization is to be supported. In order to be able to reveal existing dissatisfaction, it is usually recommended that such studies be carried out by an *external consultant*, and that *guarantees of confidentiality* are clear and explicit. Furthermore, it would be useful to include in the study *interviews with a number of the people who have left the organization*, in order to probe deeper into potential factors beside the salary issue.

Within the administrative area there is also the issue of the Educational Centre; SCG's former offices, which are currently being rented out for seminars and workshops. It includes audiovisual equipment and dormitory facilities for up to 36 persons. Eight or nine persons are employed there. While the Centre previously has operated at a loss, this situation has been partially turned around, and income was slightly higher than operating costs last year. *However, it is recommended that SCG looks at the present and potential income from this centre in a dispassionate manner, factoring in future mainte-*

nance costs, calculating expected return on investments, and comparing with potential income from selling or simply renting out the infrastructure. As specified in the MDPP, SCG is planning to realize such a study (although somewhat behind schedule).

2.1.4 Role within the Alliance

SCG has embraced wholeheartedly the ambition to be the organization to represent the Save the Children Alliance in Guatemala. The sustained process of institutional strengthening over the last five to six years has been motivated by and geared to this ambition. In this process, SCG has received significant support from the SC organizations of Norway, Sweden and Denmark, both in the form of economic support, and in the acceptance of the principle that all funding to Guatemala should then be channeled to SCG. The relationship with SC USA has been more complicated.

SC USA has accepted that SCG should have the central role coordinating role within Guatemala with respect to the areas of education and emergency relief. Thus, the activities of the SCG, SCN and SC USA in the field of education are coordinated under the 'Rewrite the Future' umbrella, and the coordinator for this program is institutionally located within SCG. There are also monthly coordination meetings for educational issues between the three SC organizations. This appears to be a successful arrangement, allowing for common lobbying efforts as well as discussions and mutual learning from each other about forms of intervention in the sector. A positive attitude to working together is reported from this area of coordination. This appears also to be the case with the area of emergency relief and emergency preparedness, although conclusions here must be tentative as this is not an area which the team has studied closely. Still, it is a fact that in the aftermath of the hurricane Stan, it was decided within the Alliance that SCG should have the responsibility for coordinating efforts among the SC organizations within the country – a fairly large operation that involved channeling funds from international SC organizations to different local NGOs. Afterwards, SCG has continued having this responsibility. While it was seen as natural that SCG – as the national SC representative – assumed this function, it was decided that international fundraising should be the responsibility of SC USA. This division of labor ensures that the fundraising capacity of SC USA is being put to full use, while at the same allows SCG to assume national coordination responsibility and develop its skills and experience in this area. Thus, in important areas, SC USA has shown willingness to support the process of SCG assuming the role as the representative of the SC Alliance in Guatemala, and to let its own work be under the coordination of the Guatemalan organization.

Still, SC USA has not been willing to follow the examples of the SC organizations of Denmark, Sweden and Norway, and close down its own operation in Guatemala. According to the interpretation of the Scandinavian SC organizations, the Alliance's principles imply that in a country where there is a national SC organization capable of representing the Alliance, international SC organizations should not be active. This includes not having an office, not giving direct support to Guatemalan NGOs other than SCG, not doing advocacy or lobbying work, and not doing fundraising. Furthermore, in this process they have given important organizational support to SCG to allow it

to assume its new roles. SC USA, on the other hand, does not give any direct support to SCG, maintains its office, is actually operational and implements its own programs, has the new objective of intensifying efforts and doubling its coverage in Guatemala, and reportedly the new strategy contemplates building up both lobbying and fundraising activities inside Guatemala. The SC USA national representative interviewed saw no problems with this arrangement, and argued that for the sake of children in Guatemala, as well as for the reputation and impact of the SC Alliance, all activity in Guatemala by any SC member was positive. To avoid any potential competition between the organizations, SC USA only uses the name Save the Children, thus seeking to ensure that any credit for their work would go to the Alliance as such and not to the specific national organization.

The SCG, however, is deeply concerned over this situation. At bottom is the feeling that the presence of different SC organizations creates confusion among the public as to which is which. Such confusion may negatively impact the potential both for fundraising inside Guatemala and for advocacy and lobby work. The fact that SCG has adopted an English name complicates the task of communicating that SCG is a Guatemalan organization, and the presence and activity of another organization calling itself Save the Children, which is actually from the USA, makes it considerably more difficult. Being confused with an international organization with presumably a very solid funding basis in its home country is clearly detrimental to SCG's fundraising efforts inside Guatemala. Being taken for an organization of the United States may conceivably also weaken its legitimacy for seeking to influence Guatemalan public policies. Furthermore, even though the two organizations are members of the same alliance, it is not inconceivable that they might at some point in the future come to disagree on some policy or program issue. From SCG's point of view, that would make the coexistence of the two organizations even less desirable. The new SC USA strategy for Guatemala, with increased activity levels and reportedly also opening for lobbying and fundraising inside Guatemala, raises SCG concerns further. For SCG this is also a question of respect for a smaller organization of the same family. While – as mentioned above – SC USA has in some instances acknowledged SCG's right to be the coordinating SC organization in Guatemala, this is not generally the case. SCG is simply informed of the new SC USA strategy, not consulted. At times this is perceived as SC USA failing to abide with international Alliance principles on how to behave in the country of another Alliance member, and simply using its weight and economic power to pursue its own strategies and interests.

At the time of our fieldwork, the SCG board had started up a dialogue with SC USA in order to seek to resolve this issue. While no substantial advances were made during the initial contact, the SCG board was encouraged by the positive tone of the meeting. In the continuation of discussions, SCG hoped to achieve results in the form of increased mutual understanding and new forms of cooperation, where for instance joint fundraising in the US, or even SCG being allowed to fundraise on their own in the US, could be benefits obtained for the Guatemalan organization.

The evaluation team concurs that the attitude of SC USA is a problem and a challenge for SCG in its ambition to position itself and clarify its role within Guatemalan society, and furthermore, that this again has serious consequences for the potential for developing local (national) fundraising. From

a worst-case perspective, one might also fear that the withdrawal of SCN from Guatemala may shift the balance of power among the SC organizations in the country, in SCG's disfavor. This is really an internal Alliance issue, which is an arena of which the team only has superficial knowledge. It is therefore difficult for us to come with recommendations in this respect. But *continuing the dialogue with SC USA* must surely be a good thing. In addition, it might help if *SCN and other SC 'allies' of SCG lobbied for understanding and acceptance of the SCG position within the decision-making bodies of the Alliance.*

2.2 Programs

2.2.1 Background

The evaluation from 2003 contains a number of positive as well as negative observations on the programs and projects of SCG. Among the weaknesses, the evaluators mention that there is a mismatch between project ambitions and scope of coverage on the one hand and available resources on the other, that there is a need for a better system of monitoring and its systematic use, that field offices are left too much alone with little support from the SCG leadership and advisors, that the strategic plan lacks clarity and is insufficiently anchored among staff, and that the local organizations created show considerable dependence on SCG and do not appear to be sustainable after the five year project period. Among the most important elements on the positive side were the good relationship between field staff and communities, and the general positive evaluation SCG's work received from beneficiaries and stakeholders.

The 2006 self-assessment was, as we have seen, quite critical of a number of organizational issues. It was more self-congratulatory when analyzing its program work:

SCG's programme is one of its core strengths, recognized among other Guatemalan NGOs as being based on child rights approach and with a strong methodology that has delivered results in an increasing number of municipalities and over 30 years. SCG ... is recognized as the lead Guatemalan agency working in this field.

It should be noted that between the two reviews referred to above, and partly explaining the differences, the SCG involvement in the PROMASA program (plagued by slow implementation and creating internal staff conflicts and disagreements over strategies) had been terminated and a new and much more focused strategic plan had been developed.

The MDPP has one set of indicators relating to the program area, namely the introduction of a Monitoring and Evaluation System. This contemplates the recruitment of a person responsible for this area, developing a quantitative data base system, training staff in its use, and implementing the system from mid-2008.

2.2.2 Findings

It should be emphasized that the evaluation team has not had the time and resources required for making a real study of program impacts. Still we have visited a number of project areas and sites, and discussed programs intensely with SCG staff of different levels, beneficiaries, local authorities and other stakeholders. Thus, we are able to offer fairly well-founded opinions on the SCG programs and their implementation, while we are not really in a position to say too much about the key question of their long-term impacts.

We find a number of strengths with the SCG programs. It is directly based on an explicit, clear and focused strategy. From the basic principles underlying this strategy – primarily its rights-based approach and child rights orientation – a limited number of sets of interventions (or program components) are derived. These components have different target groups: children (early stimulation/pre-school); youth (promoters of rights-related issues and youth organization at different levels up to the national); women (women’s groups), teachers and local organizations (COCODES). Yet, as all the interventions derive from the same principles and orientation, they mutually support each other, and where programs are implemented with sufficient intensity, strong synergies should arise. This strategy is being followed consistently in all program areas, although of course with adaptations to local conditions. The rights orientation shows itself in the strong focus on organization and participation (including the rights of children and youth to participate), as well as in the direct efforts to work with and influence local state institutions. The latter is demonstrated both by the efforts to develop and lobby for local child-oriented development plans and municipal policies, and by the willingness to try out innovative ways of directly involving state institutions, such as in Chiquimula, where instead of SCG hiring its own fieldworkers, new positions at the municipal Office of Women, Children and Youth are funded. In lobbying for child-oriented development plans and greater community involvement in and influence over municipal affairs, SCG uses and organizes broad alliances of civil society organizations. And finally, SCG enters a new program area with a five year horizon and an exit strategy already in place, and an approach that seeks to avoid clientilistic relations by minimizing provisions of materials and infrastructure⁴. Thus the strategy is geared at avoiding dependency and laying the foundation for sustainability.

Moreover, the impression of the evaluation team was that staff was well-qualified and committed to the organization and the child-rights-based approach. This includes both technicians and senior staff at field offices as well as advisors and other representatives from the central office. The relative autonomy of the field offices to develop specific program interventions in accordance with the conditions and opportunities of the areas where they work, complemented with the support and backstopping received from the

⁴ One informant (external to SCG) was of the opinion that SCG still had some distance to go to ensure a full rights-based approach free of clientilistic relations based on the distribution of material benefits. The example given was that SCG has not completely relinquished the practice of supporting schools with materials and certain improvements of infrastructure, ‘which could lead to expectations of further such support among target groups’. In our opinion, we saw very little of such expectations, and were quite impressed with SCG’s ability to convey that the main benefits they were able to provide were immaterial ones of training and organization. Thus, our conclusion is quite different from that of our informant.

head office, appear to have been successful in stimulating efficient use of resources. Given that the evaluation should focus specifically on the area of education, we are happy to be able to report the extremely high level of satisfaction among teachers having received training. SCG advisors in education were rated very highly, as were the concrete and easily applicable pedagogic techniques they taught. Impacts in terms of adoption of an active pedagogy were easily discernable in the schools visited (and echo what the team leader experienced during a visit to another SCG field office – Canilla – in 2007).

Still, there are of course a number of challenges and dilemmas that SCG face in their program work. Some of these are illustrated by the differences between Chiquimula and Livingston. Even from the brief visits made, it was evident that the Livingston program had progressed more in its year and a half of existence than had the Chiquimula program in the same period. There are different reasons for this. One is that whereas there has been considerable turnover among all types of staff in the Chiquimula office, the Livingston office has been spared such rotation. Indeed, the majority of the staff there worked in the office of El Estor before the establishment of the Livingston office. Continuity and experience is therefore much greater in Livingston. However, there are also important differences in SCG's manner of working in these two areas. In Livingston, the technicians who work directly with the communities are employed by SCG, whereas in Chiquimula they are employed by the municipal Office for Women, Children and Youth. This latter way of working implies a number of difficulties. Since the technicians are municipal employees, they may at times be ordered to work in specific tasks outside the SCG program, thereby reducing time spent on the project components. Furthermore, salary levels are adjusted to the level normally paid by the municipality, which is considerably lower than what is paid to the technicians directly employed by SCG in other areas. Thus, in Chiquimula it is difficult to expect technicians to work outside normal office hours, something which in other areas is to some extent expected when circumstances require it. Moreover, the lower salary level directly leads to the higher rotation of staff. The Chiquimula model also leads to greater turnover in another way: when there is a change of mayor after elections, it is not uncommon to change staff associated with the predecessor, even if these are persons funded through the SCG program. Thus, the way of working in Chiquimula is clearly less efficient in a number of ways, at least in the short term.

Still, however, the evaluation team does not feel in a position to say that one model is better than the other. Probably it is too early to make a judgment in this respect. The reason for this reticence is related to the potential benefits of working through municipal authorities. If successful, SCG will directly influence the way that state institutions work, and stimulate increased consideration of child rights in the policies and practices of the municipality. From a rights-oriented perspective of placing the responsibility with the institutions of the state, this must obviously be a correct strategy, if it yields positive results. And the model contains very interesting elements, such as the agreement entered with the municipality at the start of the program, specifying that the municipality shall gradually assume responsibility for the costs of the technicians. Thus, whereas in the first year SCG is to cover the full salary costs, the municipality will assume 20% of the costs in the second year, and annually increasing responsibility for the costs until covering all of it after five years. According to the agreement, the municipal-

ity will continue funding the positions also after the five program years. In this way, a new form of sustainability is built into the program. Whether in practice the municipality will follow up this obligation is of course impossible to say now, but at least the municipalities of Chiquimula are all paying the 20% in the second year. This is the case even where there has been a change of mayor and some doubts as to whether the new political administration would feel bound by the agreement. Believing that it is too early to judge, the team therefore abstains from evaluating which model is best, and recommends that *SCG should monitor closely the effects of the Chiquimula model, and make a decision on which model to use generally as soon as this is deemed possible on the basis of the experiences gained.*

However, one thing that is evident at the moment, is that the Chiquimula office – with more recently integrated staff, a more complicated and challenging model to implement, and a larger area to cover (four municipalities, compared to only one in Livingston) – has a greater need for support from the head office than does the Livingston office. *Thus, it is recommended that in the near future SCG should allocate greater head office resources to the Chiquimula office – which appears to be in line with what has already been discussed.*

Another issue that turned up in different interviews was the question of whether SCG is spreading its efforts too thinly. Several people were of the opinion that visits by technicians to communities were not frequent enough to ensure impacts. In practice there is some variation in this respect between program areas. In Chiquimula, each technician covers ten communities, while in Livingston the number is eight. Given the additional differences in the technicians' available time for the project between the two areas, this may easily translate into visits to each community twice a month in Livingston and only once a month in Chiquimula (yet another reason for Livingston having achieved more). In each community, the technician is supposed to give follow-up to all project components and target groups – children, youth, women, teachers and members of the local development council. It is difficult for the evaluation team to specify what would be an ideal frequency of visits that balanced having sufficient impact with the wish to extend coverage as widely as possible. Yet given the challenges of organizing different community groups from a rights oriented perspective, it seems clear that once a month, with so many target groups to cover, is very little. *SCG should seek to establish a required frequency of community visits, and allocate technicians to communities on the basis of this, avoiding great differences between program areas.*

This issue is related to another question, namely the required time SCG needs to work in an area before they can pull out and continue somewhere else. The SCG strategy is working in an area for five years, and thereafter having a follow-up period of two years with less intensive presence. Having only visited two project areas in the early phase of the program period, the evaluation team is not in a position to assess whether five plus two years will be sufficient to build organizations strong enough to be able to continue on their own after the SCG withdrawal. We can only point to the fact that the 2003 evaluation states that the five plus two years were not sufficient for creating sustainable organizations at that time. However, this was at a time when the SCG programs were different, with more emphasis on material support which the evaluation claimed led to dependencies, so this problem

might have been overcome today. It might also be questioned the extent to which SCG actually follows its own exit strategy. In Guatemala City we visited a school where program work started 12 years ago, and which still was in the follow-up phase. The reasons for this were not completely clear, but might indicate the recognition of a need for support over a longer period. Also the youth activists of SCG we met with in Guatemala City stated that the withdrawal of SCG support in their area led to the collapse of the youth organization. While the evaluation team cannot say definitively what the correct time horizon is, it seems reasonably clear that the answer will vary according to what kind of project component/target group one is discussing, as well as with the frequency of follow up in the communities by technicians. *SCG ought to systematize experiences from previous program areas, including information on what happens after withdrawal, in order to establish required time frames for different project components in different contexts.*

The above issues of coverage and time frame should be considered in conjunction with other discussions on project strategy that SCG are preparing to hold; on whether division of labor between technicians should be on the basis of geography or technical specialty, and on whether the model of working through state institutions should be extended by supporting pedagogic staff employed by the municipal delegation of the Ministry of Education.

From the project visits and from interviews with technicians, the evaluation team has the clear impression that work with teachers is extremely successful, and that also the project components targeting youth and children seem to work well. The project component seeking to strengthen the COCODES (local development councils), however, is much more difficult. The main reason for this has to do with the fact that the majority of COCODES members are at their places of work at the time when project staff visit the communities. Meeting the COCODES members normally requires being present in the communities at night or in the weekends, and work hours of the technicians prevent this happening except in special instances. Thus, the impression gathered is that this component is quite far from achieving its stated objectives. The evaluation team sees this as very regrettable, as the COCODES is the obvious institution to work with in a community oriented, rights based program. *SCG should urgently rethink its strategy and seek ways of overcoming the difficulties in reaching the COCODES.*

While in general the team is very impressed with the teacher training component, it seems that it could still be improved in one respect. As we have observed, and as was likewise suggested by the representatives of the Ministry of Education in Livingston/Rio Dulce, teachers would benefit from concrete and practical advice on how to manage class situations where all or some students have an other language than Spanish as their mother tongue. The fact that SCG has recently hired an advisor on bilingual and intercultural education shows that the organization recognizes the potential for improvement in this area. *SCG should follow this up with developing teacher training components on practical ways of handling the challenges of education in multilingual contexts.*

Trying to characterize the form of rights orientation in the SCG programs, we could say that SCG is very strong in terms of a general rights orientation with a focus on making state institutions assume their responsibili-

ties as duty bearers, and in a universalistic approach seeking to ensure that benefits are for all. There is also a clear emphasis on the specific rights of children, including the rights of children to participate. Furthermore, attention to gender issues and to equal rights for girls and boys, men and women, seems to be generalized throughout the organization and its program work. Thus, where a rights orientation could be further strengthened seems mainly to be in terms of increased attention to issues of indigenous rights – no insignificant issue in a country like Guatemala.

Overall, we were also impressed with SCG's ability to coordinate and cooperate with a wide range of other actors in the program areas. This was particularly evident in the establishment of municipal 'Childhood Commissions' working to develop child oriented municipal development plans and policies. Yet, somewhat to our surprise given the otherwise positive relations between the organizations, we did discover that in Livingston, both FUNDAECO and SCG are working to support the COCODES, partly in the same communities, without any coordination or apparently much awareness of each other. *Thus, there are particular instances where SCG ought to improve coordination with other actors operating in the same area.*

SCG still does not have its improved monitoring and evaluation system up and working, but has put considerable efforts into developing such a system. SCG has started this process in a more comprehensive way than what was foreseen in the MDPP program, which appeared to envision an M&E system as simply consisting of a database system. SCG has developed a proposal which starts by setting out thoughts on objectives of the M&E system, information requirements at different levels, and different types of users of the system. From this starting point, a system of instruments, procedures and indicators is sketched out for the different program areas. The aim is to make the system participatory, in the sense that the basic level of monitoring and evaluation is done within the different community level organizations supported by SCG, and should serve the purpose of orienting and strengthening their activities. We strongly support this comprehensive approach to developing an M&E system, as well as its participatory orientation. However, it should be pointed out that there is a considerable distance still to go before this system is up and functioning, and that there are significant challenges to be overcome in this process. Here we can only briefly point out some of these challenges. Firstly, a great difficulty faced by any comprehensive monitoring and evaluation system is that it may be seen by the people on the ground and the field staff as a bureaucratic requirement of no use to themselves, only increasing their workload and taking up more of their time. This inevitably leads to foot dragging, halfhearted attempts at going through the motions, and a system that in practice does not fulfill its objectives in terms of providing useful knowledge for assessing progress and improving approaches. The intention of a participatory system such as proposed here is to overcome this kind of a resistance. Still, there remains the challenge of convincing people at the ground that this is actually something that benefits them. Furthermore, a second difficulty is that if this is to be successful, it implies that indicators must be set according to the objectives that people on the ground have with their organizations and activities. It is by no means certain that these correspond to the objectives and aims of higher levels of organization (field office, head office of SCG), or that the locally developed indicators correspond between geographical areas and thereby allow aggre-

gation at higher levels. There is simply a tension between a participatory M&E system developed from below to correspond to needs on the ground, and an M&E system created from above in order to allow integration of information from many different areas and program components at the central level of a complex organization such as SCG. This tension is not something that is impossible to overcome, but remains yet another challenge for developing the M&E system. And finally, when speaking of the needs of the system as seen from above, i.e. the information required by the Program Department of SCG in order to assess progress, impacts and refine strategies, there is still some way to go in developing relevant indicators. The proposal does not yet contain specific sets of indicators that have this internal relationship to information needs. In sum, while SCG has started the process of developing a new M&E system, and done so in the right direction, *there is still the need to dedicate considerable efforts to designing the system and getting it understood, accepted and used at all levels.*

2.3 Communication

In structuring this report, we have chosen to dedicate a section to the wider area of ‘communication’. With this we refer to a set of interlinked topics that include a) image building or ‘branding’ of SCG (what in Guatemala is often referred to as *posicionamiento*), b) advocacy or lobbying, c) awareness-raising and d) fundraising. Discussing these issues together has the advantage of bringing out how they are articulated and may mutually support each other. Image building is for instance a process that when successful will increase the capacity for having an impact with lobbying and advocacy, as well as for fundraising. It may also provide a platform for improved outreach for awareness-raising. At the same time, there may be similar effects going the other way. Lobbying and advocacy campaigns on key child rights issues may have great impact in terms of raising the profile and building the image of SCG. Thus, in some cases, one specific activity, such as lobbying or advocacy efforts related to core SCG areas, may help attain objectives within several fields. For this reason it is important to have a joint strategy for the whole communication area that may take into account such possible synergies. This strategy would also need to relate to the program area, as communicating about the projects implemented here are important not only for fundraising purposes, but also for building the organizations’ image and for gaining legitimacy and acceptance for its lobbying and advocacy work. As previously mentioned, a weakness of SCG is that it lacks this kind of global strategic approach to the communication that enables the linking of activities and objectives within and between these areas.

The 2003 evaluation discusses SCG’s capacity for lobbying and advocacy. It concludes that on the basis of its programs, the organization is active in seeking to influence policies in the municipalities, but that there is a lack of a strategy to raise these efforts to lobbying at the national level. The 2006 self-assessment states that SCG has a solid reputation among institutions working with children and child rights, and a network of contacts in the municipalities where they have been working, but that there is a need to continue working to strengthen and make wider known SCG’s profile as the Guatemalan organization working for child rights and as the Guatemalan representative of the Save Children Alliance. The self-assessment likewise

emphasizes the need for a stronger and more coherent effort in terms of fundraising, both nationally (where little had been done up then) and internationally (where it was stated that relations to donors and potential donors needed to be built and that skills for dealing with this arena should be developed and diffused within the SCG leadership). The MDPP sets out a number of targets in terms of developing fundraising within Guatemala. For 2007 these included hiring new staff and establishing a ‘marketing and fundraising unit’; developing a communication plan and strategy; initiate contacts with private sectors companies that might become sponsors; and carrying out a first fundraising campaign that should bring an income in the range of USD 75,000. For 2008, goals include developing a marketing and fundraising plan; receiving support from the first private companies and increasing the number of companies contacted; and carrying out a second fundraising campaign.

2.3.1 Image building

Image building or branding includes at least two elements – becoming better known in wider circles, and being associated with the ‘correct’ or desired qualities and issues. Image building may be less of a goal in itself than a means for achieving other goals. Achieving that all Guatemalans know of and have confidence in SCG is of interest principally because it places SCG in a better position to achieve its vision – that the rights of children are respected. This would facilitate both lobbying/advocacy and raising funds for programs.

The SCG strategy is not very clear on the objectives in this area. From discussions with leadership and staff it is clear that there is an overall objective of becoming *the* organization of reference when it comes to children’s rights. This would seem to imply being recognized as the Guatemalan organization working in this area that is most serious, trustworthy, knowledgeable, able to come up with sound policy recommendations, and having the most extensive impacts through quality work in local programs. In the SCG strategy, under the wider objective of institutional strengthening, there is a goal formulated in the following manner: ‘SCG positioned as institution which promotes and realizes children rights’. Linked to this are sets of indicators and activities that partly relate to the issue under discussion here:⁵ Consistent use of name and logo, media coverage, being consulted by persons and institutions requiring information on the situation of children. The recent proposal for a fundraising strategy also contains some elements of relevance here – for instance the emphasis on the need to establish linkages between project activities and campaign issues, and the proposal of establishing a group of communications experts to give advice on campaign strategies, media issues, etc. Nevertheless, in sum, this is an area that SCG so far has not attempted to tackle through an overall strategic approach.

There is little systematic knowledge of how well known SCG is and of what kinds of characteristics people associate with the organization. In the self-assessment of 2006 it is stated that SCG is recognized by other Guatemalan NGOs as being the lead Guatemalan agency working in the field of child rights. While a strong statement, it may be quite close to the truth: SCG

⁵ Other indicators and activities relate to SCG’s role within the Alliance, the role of the Board of Directors, and lobbying and advocacy activities.

and its programs do have a very good reputation among institutions engaged in children's issues, including not only NGOs but also state entities. Furthermore, SCG reports that there is considerable interest from media when it comes to covering their arrangements, and that the degree of media coverage surpasses the goal of being in the press once a month by a very wide margin. Moreover, through its programs, SCG has established good working relations with the authorities of the municipalities where they work. Thus, at an institutional level, it seems that SCG has gained considerable respect and acknowledgement. Among the wider public, though, knowledge of SCG is probably much rarer and more diffuse.

One obstacle faced by SCG in this field relates to the name in English, which for many Guatemalans is difficult to pronounce and carries unclear connotations. It may give the impression of an international organization, and does not communicate to everyone that it is an organization working with and for children. The fact that the organization until recently was known as ADEJUC makes more difficult the challenge of communicating the desired SCG profile, as does the history of a number of SC organizations from other countries having been active in Guatemala, and the continued presence of SC USA. Even if a new change of name just five years after the last is far from ideal, it might be a solution to use a translation of Save the Children into Spanish in its communication inside Guatemala. This is apparently allowed within the alliance, and might lessen confusion over what the organization is, including creating a clearer distinction between it and SC USA. However, before making such a decision, the potential costs and benefits need to be considered. SCG has discussed the option and decided that as long as SC USA is active within Guatemala the costs of changing name would outweigh the benefits.

Furthermore, there is a need to continue the dialogue with SC USA over division of labor, roles and responsibilities. While it may be unrealistic – and probably not desirable – to expect SC USA to stop its programs, it would seem to be crucial for the image building of SCG to *establish an accord with SC USA which squarely places the responsibility for communication activities (such as lobbying/advocacy and in-country fundraising) with SCG.* (While responsibility should be with SCG, this does not preclude the possibility that in certain situations it might be advantageous to let the non-Guatemalan organization be the one raising the voice, for instance if protection of the one who speaks out is an issue.)

While it was stated above that SCG is well-known and respected among organizations working in the same field, this does not imply that there are no remaining communication challenges. Representatives of several organizations close to SCG – organizations working in alliance with SCG as well as ones about to receive funds from SCN channeled through SCG – expressed confusion and uncertainty as to what kind of new roles SCG was about to assume. Filling the gap of the SC organizations leaving Guatemala, does that mean SCG transforming itself into an international organization? Will SCG become a donor organization? Will that change relations with other Guatemalan organizations and network partners? Will SCG continue its program work? *SCG should ensure that proper information is disseminated to partner institutions and other organizations active in the field, so that confusion and misunderstandings are dispelled.*

Most importantly, however, in conjunction with a new overall communication strategy, SCG needs *to develop a strategy for image building*, that both develops goals of knowledge and perceptions among different target groups (state institutions, general public, donors, etc.), as well as outlining ways of reaching these objectives. (Such a strategy needs to understand and build on the already existing knowledge and perceptions of SCG. It might therefore be a good idea to commission a small study of SCG name recognition and associated perceptions and impressions. This need not be very scientific or expensive. Just having a few students make brief interviews with a limited sample of random respondents from a few different settings, and then analyze and systematize the results should yield useful pointers.)

2.3.2 Advocacy

As a key element of SCG's rights-based approach, there is a significant emphasis on local level lobbying and advocacy within its programs. Most clearly, this is expressed in the work of developing municipal child-oriented development plans in cooperation with a broad range of civil society actors. Similarly, the focus on local organizations, on youth promoters of different issues, and on supporting local development councils, are all aimed at strengthening the abilities of children and their parents to influence and lobby their local authorities. In sum, this engagement adds up to a significant engagement with local level advocacy.

So far, SCG has not really built upon and elevated these experiences to the departmental and national level. The municipal processes include the mapping of needs at local level. Aggregating this data for national level needs assessments would be a useful instrument for higher level advocacy work. The new and improved monitoring and evaluation system could potentially aid the process of aggregation and systematization of information relevant to a scaling up of advocacy work.

NETWORKS THAT SCG BELONGS TO:

1. COINDE (Consejo de Instituciones de Desarrollo)
2. CIPRODENI (Coordinadora de Instituciones de Promoción de los Derechos de la Niñez)
3. Movimiento Social por los Derechos de la Niñez
4. CONACMI (Coordinadora nacional contra el maltrato infantil)
5. Gran Campana Nacional por la Educación
6. Red Inter-Agencial de Educación
7. Circulo Centroamericano de Niñez, Municipios y Poder Local
8. Grupo de Calidad del Aprendizaje

At the national level, SCG recognizes that on its own, the organization's lobbying will only have a limited impact. The strategy is therefore to work in alliances for lobbying and advocacy purposes. SCG is therefore member of a number of networks and coordinating bodies, in many cases even a founding member. A list of these networks is given in Textbox 1. These are partially

overlapping networks that have focused on different issues that generally are of high relevance to the objectives of SCG. *CIPRODENI* has been working at the local, departmental and recently national levels with the elaboration of the public policy in favor of children and adolescents. They promoted the law of integral protection of children in 2003, as well as other successful child-related initiatives. The *Movimiento Social* was very much involved in the Adoption Law approved last year seeking to stop illegal adoptions in the country and in the Guatemala's ratification of the Hague Convention. They were also a reference point for the National Council of Adoptions confirmed last year. The *Gran Campaña para la Educación* has been working for increased budgets for the educational sector for many years. It may have been somewhat less active recently. The *Mesa de Municipalización* is simply a meeting place for organizations working on issues related to decentralization and local democracy, established for coordination purposes, with no organizational life of its own. SCG has withdrawn from this space in order to avoid being associated with one of the members – the Spanish NGO *Inter-vida* – which has been subjected to serious allegations of corruption. *COINDE* is an umbrella organization of 12 development NGOs working in the areas of participation, health, education and children/adolescents, indigenous rights and productive projects. In addition to information sharing and coordination among members, *COINDE* has been active in various lobbying efforts geared at ensuring increased popular participation, such as the process of decentralization.

Another coordinating instance that also serves as a base for advocacy is the *Rewrite the Future* program, which the three SC organizations of Guatemala, USA and Norway have used to coordinate joint efforts at engaging politicians for discussions on educational policies. It should be pointed out that lobbying need not necessarily be confrontational. The *Rewriting the Future* program is an example of this through the agreement on technical cooperation signed with the Ministry of Education. While on the one hand this document sets out the framework of the support that the Save the Children Alliance will offer to the Ministry, it also spells out certain obligations of the Ministry, such as ensuring the sufficient number of bilingual teachers for the schools of the municipalities where the program is being implemented. Thus, it can be seen as a way of having an impact on government policies based on the carrot rather than on mobilization, campaigns and confrontations.

These networks are in general strategically important spaces of participation, as they focus on key issues for SCG, and are significant actors in their relevant arenas. A possible exception to this is *COINDE*, which is not particularly focused on child issues (even if it focus on citizens' participation, a key area of SCG), nor does it appear to be particularly important within the set of networks, alliances and campaigns of Guatemalan civil society. Thus, one might question the wisdom of prioritizing this network, which actually does require a certain level of active participation from the SCG leadership.

While the strategy of working through networks in order to have impacts seems reasonable, it is possible to question the effort that SCG puts into this work. Several of the representatives of networks interviewed expressed that the SCG had not been very active in the networks over the last years or had to some extent withdrawn from the lobbying and advocacy work. Similarly, when referring to the advocacy of the *Rewriting the Future* campaign, the national director of SC USA stated that so much more could have been done.

The President of the Board of SCG referred to his organization's lobbying efforts as 'advocacy light (*incidencia* light)'. And the decision to withdraw from the Mesa de Municipalización could be interpreted as expressing a great reluctance to exposing oneself through playing an active role in lobbying and advocacy. In sum, there is feeling that SCG is not throwing itself wholeheartedly into national level lobbying.

Of course, how much effort to put into lobbying and advocacy is a question of resources. Doing a serious job in this field requires the dedication of significant amounts of manpower, and given the different challenges faced by SCG, it may be wise not to give the advocacy field top priority. However, unless one should wish to leave the issue of advocacy completely aside, this brings up the issue of prioritization. When asked about advocacy issues to prioritize in the future, the SCG director mentioned the *pacto fiscal*, the proposed tax agreement that might substantially increase government revenue and consequently its capacity to address social issues. While this is undoubtedly an issue that might benefit Guatemala (and indirectly Guatemala's children), it is difficult to understand the strategic thinking behind this. The evaluation team suggests that *SCG ought to develop an advocacy strategy that should single out a limited number of issues upon which efforts should concentrate*. These should be selected firstly on the basis that they are among the core areas of SCG's mission. Thus, they should directly involve key child rights. These are the issues where SCG has a special responsibility, and should be among those actively fronting the issue. At the same time, being a key spokesman on these issues supports the image building efforts of the organization. Secondly, issues should be selected according to whether the SCG lobbying may have an impact. That is, there should be expectations of succeeding in influencing policies in the right direction, and the SCG's participation in lobbying should make a difference in this respect. SCG should not simply be one more among a large number of organizations. In our opinion, selecting the *pacto fiscal* as the key advocacy cause fails both these requirements.⁶ This is does of course not mean that SCG should refrain from expressing support to the organizations working for this, sign petitions and so on. But when it comes dedicating its own resources, manpower and resources to an advocacy issue, this should be selected on the basis of stringent strategic thinking. This should be linked up to – and indeed be a key part of – the overall communication strategy. This means that a third element also needs to be considered – whether a focus on a specific issue may hurt SCG in other contexts, such as in fundraising, or in the ability to work with and influence state institutions.

2.3.3 Child Rights awareness-raising

With this term of awareness-raising, we refer to dissemination of general knowledge of children's rights and of the actual situation of children in Guatemala and elsewhere and the degree to which their rights are fulfilled. Clearly, this is a task that falls within the mandate of SCG. In actual fact, there is a considerable amount of such awareness-raising being carried out at

⁶ SCG argues that lobbying for the *pacto fiscal* is highly relevant for a rights-based strategy, as increased income is a precondition for the state to assume greater responsibilities. While we agree with this, we would still maintain that SCG should select its advocacy causes so that they serve to profile the organization as a *Child*-rights organization, not simply as a rights-oriented one.

the local level through the SCG programs. Children, youth, teachers, parents, COCODES members and local authorities are in different ways being made aware of different aspects of children's rights. To some extent these efforts also reach a somewhat higher level, for instance through the child rights festivals and radio programs arranged by SCG and its local partners, and through the exposure of SCG activity in media reports. At the national level, an important contribution of SCG is the mapping of the situation of child rights in Guatemala, which has been disseminated through the networks the organization belongs to, as well as to mass media. Apart from this, however, there has been relatively little systematic work done by SCG at the national level. Given the many challenges faced by SCG in other areas, this probably reflects a wise decision on how to prioritize resources. Still, it should be maintained as part of SCG's area of responsibility. Thus, while currently it seems reasonable to maintain this area on the backburner, we would still recommend dedicating a section of the overall communication strategy to awareness-raising. One reason for insisting on this is because there may be indirect effects and spin-offs in terms of awareness-raising from other SCG communication activities, and such benefits should be considered and made explicit within the overall strategy.

2.3.4 Fundraising

Fundraising may easily be considered as different from the other communication areas, as its purpose is different: to secure resources necessary to implement the programs and keep the organization going. Yet it is important to realize that fundraising is fundamentally a communication activity – sending messages and establishing relationships with donors and funders – and that it is intimately linked to the other communication areas. For instance, having an updated webpage with relevant information about SCG and its programs might be considered primarily part of the image-building area, yet may be crucial for fundraising purposes, both in-country and internationally. For this reason, fundraising is dealt with as part of the communication challenge in this report, and for the same reason we recommend a joint strategy covering all these four areas.

The above holds for both international fundraising and for fundraising inside Guatemala. However, these two forms of fundraising are fundamentally different along a number of dimensions, including the types of potential donors and the requirements and challenges they pose. Therefore, in the following, they are dealt with individually. As was argued in section 3.1.2, fundraising is a crucial area where SCG urgently needs to develop its capacity. While fundraising within Guatemala is important for a number of reasons and should not be neglected, it should nevertheless be recognized that in the short to medium term, SCG's great dependence on a single donor cannot realistically be alleviated without expanded international fundraising. In order to reach the SC Alliance target of no single donor accounting for more than 30% of funding, such large new sources of funding are needed that it will take a number of years before one might reasonably expect national fundraising to be most important. The implication of this, then, is that seeking new international donors is not something that should be put off for the future, or given lower priority than raising funds within Guatemala.

2.3.4.1. Fundraising within Guatemala

SCG has expended considerable efforts in this area, but are nevertheless far short of the milestones set out in the MDPP program document. The main reason for this relates to the difficulty of securing and retaining qualified staff for this specialized area. Civil society fundraising is an underdeveloped field in Guatemala, and very few people possess the required skills and experiences. Furthermore, the salary levels of the NGO sector may be too low to attract people with the relevant qualifications. One person was hired in 2007, but she left the organization after a few months, too early to have developed any basis within SCG for future fundraising. Her replacement only started working in January this year, and had to start from scratch. In the period since then he has developed a proposal for a strategy. The strategy proposes working on a number of fronts simultaneously: seeking funding from private companies; establishing a net of individual (well-off) donors; recruiting individuals (less well-off) as volunteers for different kinds of arrangements and campaigns; seeking funding from government institutions; arranging campaigns for specific fundraising issues; drawing on Board members and their networks for establishing contact groups of influential people; and so on. Furthermore, the fundraising coordinator has initiated contacts with a number of companies seen as potential future donors to SCG. Unfortunately, he has also handed in his resignation, effective by the end of September. While this time there is at least a document outlining an approach left behind, it still means that SCG will have to start pretty much from the beginning again.

The evaluation team finds the proposed strategy to be useful and full of good ideas. It might be over-ambitious, and in need of prioritizing between different proposed activities. After all, it is important to have the capacity to follow initiatives through in a proper manner, rather than doing too many things in half-hearted manner. On the other hand, being motivated, positive, creative and (over-)ambitious are perhaps the most important qualities required of a good fundraiser, and an ambitious strategy may thus be a sign of the right approach. Anyway, the strategy is still in need of further refinements in terms of specifying communication strategies, campaign themes and specific approaches for different target groups. *We recommend that the further development of the national fundraising strategy be done in conjunction with the overall communication strategy*, which for instance will allow integration between fundraising and image building activities.

While fundraising in Guatemala is an underdeveloped field, the team is of the opinion that there is a significant potential for an organization such as SCG – competent in its programs; with a long trajectory; working with an issue such as children's rights which may easily move and mobilize people; and in a position to be able to attain broad recognition as the leading Guatemalan organization within the field. We therefore hold it to be correct for SCG to continue to pursue this objective. We further believe that in the short term, it is the target group of private companies that holds the greatest promise. However, it is important to work strategically for forms of support that contribute to SCG covering its administrative and overhead costs. Donations of school materials for SCG programs are of course positive in themselves, but really do not contribute at all to resolving the organization's underlying financial challenge. In other countries, one experience that fundraising NGOs have with support from private companies is that these donors are

more willing than others to include overhead costs in their support. On the one hand, businessmen understand that running a program or an organization implies overhead and administrative costs that need to be covered, and on the other hand, they are often just as interested in the positive image the funding may give their company as in the way their support is actually being used. Hopefully it will also be the case for SCG that it will be able to secure corporate funding also for core administrative costs. Anyway, in national fundraising as well as in international fundraising, SCG has the challenge of *presenting programs for support where a wide range of staff and head office costs are included as project costs in ways that appear reasonable and acceptable.*

Now, while the team believes there is a potential for developing in-country fundraising, this nevertheless poses a dilemma: In order to pursue this strategy, there is a need for further support to SCG in building its fundraising capacity. And it is not possible to give any money-back guarantee in this case. Even though the purpose is increased income for SCG, there is always the risk that investing money in this area might not be successful. Nevertheless, with some hesitation, we conclude that *SCG ought to continue its national fundraising efforts.*

2.3.4.2. International fundraising

As mentioned in section 3.1.2, the number of international donors supporting SCG has been gradually going down. While there have been attempts at securing new donors, principally through the SC Alliance, these have not been pursued with great intensity, principally because SCG has prioritized 'setting its house in order' and ensuring the capacity to be able to live up to donor requirements is in place before new partnerships are entered into.

The evaluation team has been able to examine the eight proposals for new projects that SCG has presented to donors in the last year. Most of these have been to European SC organization, or to back donors such as the European Union in cooperation with a European SC member. The initial impression is that these proposals are well done, convincingly presented in the right formats and what appears to be the correct terminology. Even if most have failed, this only what one must expect given the competitiveness of the funding system. It does not indicate any weakness of the applications. The fact that one (fairly small) project has been accepted and two more are pending the decision of the donor actually indicates a high approval rate of the applications.

However, there is a *need to intensify efforts, including seeking contact with new donors.* The interview with the SC USA country director was interesting in suggesting ways of doing this. On the one hand she emphasized the importance of going for greater numbers. Her staff had produced and submitted 35 applications in the eight months since January - and should five be accepted that would be considered highly successful. On the other hand she pointed out the need for understanding donors thoroughly. This means spending time investigating their web pages, learning what kinds of projects they have supported, the language they use in their strategy documents and their objectives and guidelines for support. Where possible, learning what kinds of items are accepted in project budgets is very useful. And of course information on procedures for submitting applications, formats and deadlines

should be collected. Thus, it is not sufficient to learn the general rules and language of development cooperation – each individual donor must be ‘read’, and proposals adjusted and packaged accordingly.

SCG already has a set of programs with different components that are being implemented in different areas, which can be scaled up with the availability of new funds (even if scaling down again after the end of short-term funding is more difficult). This means that SCG is in a position to package and tailor different proposals in accordance with the guidelines and preferences of individual donors, without breaking with the overall project strategy. Thus, SCG is in an excellent position to develop its fundraising capacity in the way proposed by the SC USA country director. However, obviously this requires some *reorganization within SCG, dedicating one or more staff members to this task and giving them the required training*. Perhaps it would be possible to approach SC USA for such training. Of course the person cannot develop proposals in isolation from the program department – it will be necessary to find a way of integrating inputs from the program staff into the process. But overall responsibility for initiating and putting together proposals and tailoring them to the particular requirements of the different donors should be the responsibility of a person with special training in fundraising from international donors.

In terms of seeking out new donors, SCG concludes from its experiences over the last few years that Europe is becoming increasingly difficult due to a new concentration on Africa, and that USA and Canada are therefore the priority areas in the near future. *While expanding to North America may be a good idea, SCG should not for that reason stop soliciting funds from Europe*. Having applications rejected – also good applications – is the norm. Therefore SCG should not be discouraged. A further area to explore consists of the *UN agencies and multilaterals present in Guatemala* that have funding windows for civil society organizations. The great advantage of this set of donors is that direct personal contact with the representatives may be established without incurring great travel expenses.

2.4 Channeling funds to Guatemalan NGOs

This is a new function that SCG is taking on as the SC organizations from Sweden, Denmark and Norway are leaving Guatemala. With funds from Denmark there is already four years of experience in having this role. However, from 2009, when three of SCN’s partners will receive their funding through SCG, there will be a significant expansion in amounts and scope. According to the 2009 budget, almost 2.3 million quetzals will be channeled this way – about 15% of SCG’s total budget.

This means that SCG is assuming a new role, close to the donor or funding partner role of an international NGO. There are a number of challenges in this. How will it affect the relationship between SCG and the organizations it is channeling funds to – in many cases organizations with which SCG already is cooperating. Will it unbalance the relationships within a network when one of the organizations is channeling funds to another member, and has the responsibility to give follow-up and control that the other organization is using the support properly?

There is also the uncertainty among the receiving organizations as to what kind of funding partner SCG will be. It will automatically be compared

to SCN, which has been described by Guatemalan partners as an almost perfect donor; close to the projects, understanding, flexible, supportive. This can be a tough act to follow, and SCG will probably have (or feel that they have) less freedom to make decisions on reallocations or future funding than has been the case with SCN. Thus, there may be inevitable strains in these relationships.

Some of them also involve very complex relationships. SCG will channel funds to Pennat and to the Quality Group (a network of present and former SCN partners working with education), which is coordinated by Pennat. At the same time SCG is member of the Quality Group, and part of the funding for this group will eventually be channeled back to SCG (as is the case for all the group members). For this amount, the Quality Group (or Pennat, as its coordinator) will have the role of controlling and ensuring that SCG is using the funds properly. Thus, we get an extremely long aid chain:

Norad -> SCN -> SC Nicaragua⁷ -> SCG -> Quality Group/Pennat -> SCG

The evaluation team feels fairly confident that SCG will handle the 'technical aspect' of this role satisfactorily, and that transfer of funds, financial management, control and reporting routines will be carried out according to the procedures required by the back donor. How SCG will develop the other dimensions of the partnership relation – being a dialogue partner, offering technical backstopping where needed, discussing changes in plans and reallocations of budgets, participating in planning processes, making field visits, discussing future funding possibilities, facilitating links to other relevant institutions, etc. – is a more open question. In this area SCG will need to develop its own role, in dialogue with both the international funding organization and the Guatemalan partner. The challenge for SCG will be to develop this role in a way that ensures the best use of the available resources.

How this role should be fashioned, and how crucial this process is, will to a large extent be determined by the time perspective involved. If this is just a temporary function that SCG assumes in a transition period of one or a couple of years, then the way that the partner role is developed is not all that important. However, it is possible for SCG to decide that this is a role that the organization ought to take on permanently. This would not entail an end to implementing its own programs, but would be based on the recognition that one organization cannot do everything and that at times there are other actors better suited to certain tasks. Here, then, lies one of the fundamental strategic decisions that SCG needs to take in the near future. As of now, SCG appears not to have concluded on the issue, and it is probably wise to gather more experience with the role of being a funding partner before a decision is made. However, it is one that should not be postponed too long. And in making this decision, SCG should consider very carefully what the implications are for its roles as a Guatemalan organization, and as member of an international alliance and its representative in the country.

⁷ With the closing down of the SCN office in Guatemala, it will be the SC office in Nicaragua which will have the responsibility for following up support from SCN to SCG.

2.5. Impacts of the SCN support

The material presented in sections 2.1 to 2.3 shows that SCG has gone through a considerable process of organizational strengthening over the last four to five years. This is seen in a number of ways: Reduced conflicts and improved relations among staff, and between head office and field offices; better capacity to produce project documents and narrative and financial reports on time; improved systems of managing human resources; greater involvement of Board of Directors; a new strategic plan that is used in practice to focus efforts in a rights-based approach; assuming greater responsibilities as the national representative of the SC Alliance; advances in developing plans for national fundraising and for program monitoring and evaluation, etc. SCG today is a much stronger organization than it was just a few years ago.

At the same time, it is clear that advances have not been as great as hoped for. In a number of areas, improvements are at the planning or 'paper stage', still waiting to be implemented. This applies for instance to the Monitoring and Evaluation System, the national fundraising, to seven of the eleven manuals of administrative procedures that are being developed, to the new financial management system, and to human resource management systems such as manual of procedures, functions and responsibilities and performance assessment system. Table 1 gives an overview of the extent to which MDPP milestones up to July 2008 have been met.

TABLE 1
TARGETS AND ACHIEVEMENTS OF THE MDPP PROGRAM

| | Achieved | Pending |
|--|---|---|
| Fundraising | Recruited fundraising coordinator (but unable to retain) Private companies contacted First proposal for strategy | Develop communication strategy Carry out fundraising campaign Develop fundraising plan |
| Financial Management | Consultancy carried out New financial management system is being installed Monthly financial statements are produced | Study on educational centre income Finalizing the installation of the new financial management system |
| Information and Communication Technology | Half-time IT assistant hired | Develop ICT plan 2008-2011 |
| Human Resources | HR assistant hired Staff trainings initiated Manual with responsibilities and functions of all positions created Staff files completed / updated Recruitment evaluation tests for different positions developed | Develop HR training plan Implement functions manual and performance appraisal system Develop staff development and promotion plan |
| Program Measurement and Evaluation | Program sub-manager recruited Strengths/weaknesses of existing practice of M&E mapped Proposal for new M&E system outlined | Complete development of M&E system Train staff in its use Implement the new system Produce quarterly monitoring reports |
| Board of Directors | Change of Board members in accordance with bylaws Training and greater involvement of Board members Strengthened Board participation within Alliance Board review of strategic plan | Elaborate Board development plan |

Thus, progress is considerably behind what was planned. This can be interpreted in two ways: Either implementation has been slow, or plans were over-optimistic. Undoubtedly there are elements of both, but the evaluation team prefers to put the emphasis on the latter explanation. After all, the MDPP program document is dated March 19 2007, just 18 months before the evaluation took place. Thus, we prefer to emphasize that a lot has been achieved in this period.

The SCN–SCG partnership has been a particular one. Fundamentally it has been a positive relationship, evidenced by the strong economic and ‘political’ support from SCN. Yet it has been a fairly distant relationship. This is somewhat surprising as the two organizations have their offices in the same building, and as SCN is generally acknowledged as having very close rela-

tionships with its partner organizations, including for instance frequent project and field visits. However, this has not been the case with the sister organization on the floor above, at least not until the period of closer working relations made necessary by the handing-over process⁸. It might be that this more distant relationship has had implications for how SCN has perceived SCG. Possibly, there has been a time lag in appreciating the changes that SCG has been going through, in terms of an increased rights-based approach and perhaps also in general institutional strengthening. If this has been the case, the problem has to a considerable extent been overcome through the closer working relations over the past year or two.

To what extent are improvements in SCG's organizational capacity the result of SCN support? As argued in the introduction, this is an impossible question to answer with any precision. Changes and improvements are due to a range of different factors, and Norwegian support is only one of them. Attempting to specify what amount is due to SCN, and what to SCG's own dedicated efforts at improving, or to the support from SC Sweden is a meaningless exercise. However, what can be said is that for a number of these improvements – in management and administration, in program M&E and in developing the fundraising strategy, the Norwegian support has been a necessary condition, without which the improvements would not have happened. In that sense, the Norwegian financial support has been fundamental.

However, in discussing the role of SCN, the Director of SCG gives equal weight to what can be called the political support within the SC Alliance. The Norwegian support for SCG's ambition of becoming the organization representing the Alliance in Guatemala has been crucial for SCG to assume this greater role. This includes the decisions to close down the SCN Guatemala office and phase out support to other Guatemalan partners, and to be a partner in for the organizational strengthening process of SCG. Furthermore, SCN has strongly supported the idea that it was SCG which should be the entity to coordinate both the Rewriting the Future program in Guatemala as well as the emergency response after Stan and the subsequent process of developing of emergency preparedness.

Finally, it should be mentioned that alongside the financial and political support, there has also been technical support. This has really only been ongoing over the last year and a half, when SCN and SCG staff has been working more closely together. This has been appreciated by SCG staff. In sum of overall impacts, however, this form of support has been clearly less important than the other two.

To some extent it can thus be said that SCN should have a significant part of the credit for the advances that have been made, while the delays should largely be blamed on external factors, over-ambitious targets, and perhaps also SCG slowness in implementing some of the components. This is one advantage of being a donor; that one gets credit for what is achieved while avoiding the blame for what is not.

⁸ In their comments, SCN mention some exceptions to the generalization: Contact at director level has always been close; in the issue of communication there was close cooperation between the SCN and SCG coordinators between 2003 and 2007; one SCN program coordinator was involved in the SCG participation in the *La Iniciativa* process in 2004-2005. These cases notwithstanding, SCN does dispute the general characterization of the relationship.

However, there is a reverse side to this argument: If it is the case that through the support to the organizational strengthening, what has been achieved is the construction of an administrative structure too large and top-heavy to be financially sustainable⁹, then a substantial part of the responsibility for this must lie with the donor. It could be argued that the ready availability of SCN funds has led to a tendency to resolve administrative and organizational shortcomings by hiring new people and opening new units and departments within the SCG structure, rather than seeking to make more efficient use of the already existing resources. Likewise, SCN has accepted the argument that SCG should put its house in order before seeking new donors, even supported it through the policy of covering the necessary costs of SCG's administration. This SCN stance has allowed the number of donors to dwindle and directly led to an increased dependence on SCN. In this perspective, the primary blame for the lack of attention to issues of financial sustainability should actually be apportioned to the donor that is supplying the incentives for addressing problems in this way.

⁹ As previously noted, it is the opinion of SCG that it is necessary to have the current administrative structure.

3. Conclusions

Organization

In terms of governance structure, there is still some way to go before the SC Alliance guidelines of best practices are adhered to. Yet, the process is moving in the right direction. The Board of Directors is increasingly being involved in the strategic management of the organizations, and mechanisms to ensure proper selection and rotation of board members are gradually being improved. SCG might consider whether its current administrative structure is the optimal for promoting an efficient division of labor and use of resources.

In terms of strategic planning, the elaboration of the 2006 strategy document implied a significant step forward. It is a strategy document that is focused, rights-oriented and that is being used in practice. SCG has also exhibited a planned approach to overcome its organizational weaknesses, and to assume the responsibilities associated with being the representative of the SC Alliance in Guatemala. However, there are also clear gaps in strategic thinking. Most serious is the lack of immediate attention to the issues of long-term financial sustainability, the danger of building a too large administrative structure, the limited advances in terms of fundraising, and the growing dependence on one donor. Moreover, the evaluation team sees it as a strategic weakness that there have been no attempts at developing an overall communication strategy.

SCG has greatly strengthened its administrative capacity, not only in terms of internal management of staff, funds and other resources, but also with respect to fulfilling donor requirements for producing plans, accounts and reports on time. Today the organization appears solid and dependable – even if not exactly ‘lean and mean’.

With the help of the SC organizations of Norway, Sweden and Denmark, SCG has been able to assume many of the responsibilities of being the SC representative in Guatemala. The relation to SC USA is more complicated. While positive coordination mechanisms giving key responsibility to SCG are in place in some areas (education, emergency response), the continuing operative role of SC USA creates difficulties for the image building of SCG. Should SC USA engage in fundraising and lobbying inside Guatemala, such problems would be greatly increased. Understandably SCG views this with considerable concern.

Programs

We concur with previous assessments that this is an area of strength of SCG. Programs are based on a clear and rights-based strategy, where components complement and mutually strengthen each other, and there is considerable focus on making state institutions assume their obligations with respect to key child rights, partly through working in broad alliances with other civil society organizations. The strategy moreover emphasizes child participation and contains an exit strategy from the time of entry into an area. Furthermore, programs are implemented by staff that seem well qualified, motivated

and committed, and at the local level, excellent relations have been established with local authorities.

There are still a number of areas where SCG can improve its programs. Perhaps most importantly this relates to how to work with the local development councils (COCODES). These voluntary councils are central for the program strategy, yet in practice prove to be difficult to reach with the current model of technicians working normal hours. Furthermore, in the near future, SCG will have to assess the costs and benefits of the innovative and interesting, yet challenging model of working through the municipality, which is being tried out in Chiquimula, and decide whether this model should be scrapped, modified, and/or extended to other areas. Finally, there is still a considerable distance to go before the program monitoring and evaluation system is in place and functioning.

Communication

While the program area is the strong side of SCG and the organizational side has advanced significantly, the communication area must be said to be lagging behind. There is a need for developing an overall strategy that covers and integrates the objectives, activities and targets for the four separate, but highly interrelated areas of image building, advocacy, awareness-raising and fund-raising.

In terms of image building, the SCG is well-known and has a good reputation among NGOs and state institutions working with child rights and related issues. In spite of some increase in media coverage over the last years, the organization remains relatively little known among the general public. A serious complication for building the desired image of the organization is the continued presence and activity of SC USA. Also the fact that the name of the organization is in English is an issue which makes it more challenging to communicate what SCG is.

In advocacy and lobbying, SCG is doing a lot at the local level. At national level, efforts are weaker. Here, SCG follows the strategy of working through networks, and belongs to a number that are highly relevant. However, the activity levels of SCG within these networks appear to have been fairly low over the past few years. SCG has not developed any strategic focus on key issues for concentrating lobbying and advocacy efforts.

In awareness-raising, the SCG programs have considerable effects at the local level. There is little attention to doing such work at the national level. Given the different challenges faced by SCG, this is probably a wise way of prioritizing resources.

Fundraising is an area where little has been achieved. Indeed, from one perspective developments have been negative, as the number of international donors has been reduced, while fundraising from national sources remain negligible. This is a priority area where SCG needs to dedicate efforts immediately. In the short to medium term, it is realistically only international funding that can significantly alter the current financial dependence on one donor. SCG possesses the basic skills for developing proposals, but need to do this with much greater intensity and upgrade skills at donor mapping and communication. In terms of national fundraising, there have only been limited advances in spite of the efforts made, probably largely due to factors external to SCG. The team believes that there is a potential for developing

fundraising within Guatemala, but this poses a dilemma. In order to pursue this strategy, there is a need for continued and perhaps increased support for this component. Yet there is no guarantee that this strategy will be successful and that the investment will pay off.

Channeling funds to other Guatemalan organizations

This is something that SCG already has some experience of, after having had this role on behalf of the SC organizations of Denmark and Sweden. Even if this activity will be considerably expanded when also funds from SCN are transferred in this way, we do not foresee any difficulties for SCG in handling the technical side of this. The challenge will be how to develop the role as a funding partner over and above the channeling of funds and following up reports and accounts. Furthermore, SCG needs to make a strategic decision on whether this is a role that it wants to take on permanently, and follow up the implications of this decision.

Impacts of the SCN support

Over the period of SCN support for organizational strengthening, SCG has advanced significantly. Still, many of the targets for the institutional support program have not been met. We see this as primarily reflecting over-ambitious goals.

While the specific contribution of SCN support cannot be singled out, it is clear that SCN funding has been fundamental for many of the improvements that have taken place. Likewise, the ‘political’ support that SCN has given SCG within the SC Alliance has been of crucial importance for SCG to assume the role as the national representative.

However, the SCN support has also contributed to an institutional strengthening process of a form that has implied too little attention to issues of long-term financial sustainability of the organization.

4. Recommendations

In Chapter 2 of this report (Findings) a number of recommendations are mentioned in the context of the relevant descriptions or discussions. They have all been put in italics, so they are easily found when skimming through the pages. In order to avoid unnecessary repetitions, and to allow the focus in this section to be on the most important recommendations, most of these earlier points will not be mentioned again in this section.

4.1 For SCG

1. The most important challenge facing SCG relates to the financial issues of very high dependence on one donor and the problem of long-term economic sustainability of a large administrative structure. SCG needs to address these issues immediately and seriously. This means that action must be taken in a number of areas.
 - d. International fundraising. Much greater efforts need to be put into this area. More proposals need to be developed and presented to donors. New sets of donors need to be identified, analyzed, and contacted. The ability to package and tailor proposals to the individual requirements and guidelines of different donors must be further developed. Budgets should be developed so that relevant costs incurred at head office are included as project costs. The overall responsibility for this ‘donor contact and project developing’ should be with one or more designated persons, who will need specialized training.
 - e. National fundraising. The efforts initiated in this area must be followed up. The proposed fundraising strategy must be further refined and developed, including priority-setting among proposed activities. It is recommended to give priority in a first phase to the private business sector. Companies already contacted must be followed up. Priority should be given to securing funding arrangements that can also cover overhead costs.
 - f. Cost-cutting. There is also a need to search for opportunities for cost-cutting and more efficient use of resources. Potential areas could be through restructuring the organization, for instance with fewer levels and increased delegation of authority, or a different division of labor between departments. Income and costs of the educational centre needs to be compared to the options of renting out or selling the building.
2. Related to some of the points above, there is a need to develop an overall communication strategy. This should include fundraising – both national and international – as well as image building, advocacy and awareness-raising. Care should be taken to develop the strategy so that maximum synergies are achieved between these components. Where possible, activities should be selected so as to serve objectives in more than one area.
3. A crucial point in connection with the organization’s image building is the relation to SC USA. Dialogue should be continued and seek to establish

ways of minimizing confusion and negative impacts on SCG image building and fundraising from the existence of two SC organizations in the country. In parallel, it seems important to lobby through the structures of the Alliance in order to influence SC USA to minimize its 'footprint'.

4. SCG needs to make a strategic decision on whether it wants to be a funding organization. This is an important strategic decision which will affect its relations to other organizations of Guatemalan civil society. It will also have an important effect in defining what it means for SCG to be the representative of the SC Alliance in Guatemala, and it will have an important impact on the image building process.

4.2 For SCN

1. SCN should consider carefully the implications of its co-responsibility for developing an administrative structure within SCG that may be difficult to sustain without continued Norwegian core funding. A future strategy for supporting SCG must combine acceptance of responsibility for what has been developed with efforts focused at creating sustainability.
2. As the most fundamental challenges for SCG are mentioned in the recommendations above, the logical recommendations for SCN are to support these processes. In terms of funding, this would involve supporting the costs of developing international and national fundraising capacity, as well as costs of developing an overall communication strategy. The two first are forms of support that should be able to generate income fairly rapidly, and should therefore be sustainable. The last point is in many ways a precondition for the other two. Developing the strategy should not in itself be very costly.
3. In addition to funding for these processes, SCN should seek to contribute to developing the skills needed in the areas of fundraising and general communication. As these are areas where SCN possesses considerable expertise, one might consider internships and exchange arrangements between SCG and the SCN office in Norway. Alternatively, one could hire Latin American consultants for shorter periods (with the purpose of transferring knowledge, not of doing some specific task). In terms of international fundraising and donor contact, SCN is not an expert, but might consider whether it is possible to recruit trainers through its extensive network.
4. SCN should seek to use its position and influence within the SC Alliance to obtain agreements with SC USA that will minimize the problem of having a foreign SC organization working in a country where there is a functioning national organization.

Appendices

Appendix 1 Terms of Reference

Terms of Reference

Organizational Evaluation of Save the Children Guatemala 2008

1. Introduction

Upon signing the cooperation agreement between Save the Children Norway (SCN) and Save the Children Guatemala (SCG) 2006-2008, it was agreed to perform an external evaluation by the end of the period, including program as well as organizational strengthening. The same was reiterated upon signing the MDPP¹⁰ agreement in 2007. The current agreement (2006-2008) will be extended for one year (2009). The results of the evaluation need to be ready for the discussions between SCN and SCG about the cooperation agreement for the next 4 year period (2010-2013) and for a two year extension (2010-2011) of the current MDPP agreement (2007-2009).

1.1 Background:

SCN has supported SCG since it was established as a national organization in 1983. Since 2000, part of the support has been earmarked to organizational strengthening based on the wish of SCG to assume the role as the leading SC in Guatemala in a context where SC members from Norway, Denmark, Sweden and USA also had presence in the country. In the period 2003-2005 an organizational strengthening plan was developed based on the results of an administrative and programmatic evaluation of the organization conducted in the beginning of 2003. The plan was financially supported by SCN and SCS.

As of 2005 SCG had made fundamental changes in the statutes and governing bodies and developed a new strategy for the period 2006-2010. In 2006, Guatemala was included in the SC global challenge on education "Rewrite the Future" with SCG as the lead agency for the three members in the country (Guatemala, Norway and USA). At the same time SC invites SCG to participate in SC's MDPP and SCN's head office decides to be SCG's partner in the MDPP program in order for SCG to become a Stronger Member in the SC context.

SCG has taken the lead in the cooperation between SC USA, SCN and SCG in Guatemala, being in the monthly SC meetings, during the emergency relief after Stan and the SC Rewrite the Future Campaign (RtF).

SCG was created as a community development organization with recognized experience from the reconstruction work after the earthquake in 1976. The

¹⁰ MDPP = Save the Children's Market Development Programme Partner

organization developed a child centered community development approach and during the last years the organization has changed this approach to child rights programming including advocacy work at a national level. During 2007 SCG strengthened its program structure, which now includes a manager, a sub-manager, advisors for child rights, citizen participation and education plus two education assistants.

The strategy 2006-2010 shows clearly the child rights perspective, including the following strategic objectives:

- Promotion and realization of children's rights including quality education, advocacy at municipal, departmental and national level, and influencing networks on child rights.
- Strengthening of citizenship and community participation, including woman and youths participation in organizational and programmatic processes with children, social movement in favor of children, and strengthened community organizations
- Institutional strengthening
- Generation of knowledge and technology

The thematic programs are developed in an integrated manner with child participation as a main approach through 4 field offices and with support from the head office.

In 2004 SCN decided to make a phase out plan and close the office in Guatemala by the end of the strategy period 2006-2009. One of the premises for the closing of the office has been that SCN will continue supporting child rights activities/programs in Guatemala in cooperation with SCG at the same level as the support to programs in 2009, (approximately 10.000.000 QTZ – RfF not included).

A main concern for SCN has been to what extent SCG will have the administrative and programmatic capacity to handle such an amount of money from one donor as of 2009. Therefore organizational strengthening of SCG is a main part of the current cooperation agreement between SCG and SCN and a plan for accompaniment has been developed. The annual support has been gradually increased.

Actually SCN support to SCG is distributed between support to organizational strengthening/MDPP and to thematic programs through the projects: quality education, violence and sexual abuse and child rights and community participation. All in line with SCG strategy 2006-2010.

SCN has stressed that in order for SCN to maintain the support after 2009 at a 2009 level, SCG needs to show results from the implementation of the MDPP plan agreed upon in March 2007 as well as the regular organizational strengthening support from SCN in the period 2006-2009. In discussions during 2007 expectations were resumed as follows:

- An effective organization/administration,
- Plans and reports on time

- System for delegation of authority to smooth operations
- A fund raising unit
- Show good coordination and monitoring of Rewrite the Future,
- Capacity to take on new commitments,
- Capacity to create/work in alliances,
- Capacity to cooperate with other entities in Guatemala (civil society and the State) channeling funds to reach more children, e.g. in education

All in all, SCG has made structural and administrative changes, i.e. create a management team, new units like the human resources unit and the recently established marketing and fundraising unit, strengthen the program unit, purchase new accounting software, etc. However good the final result, structural changes may create uncertainties and it takes a lot of efforts and commitments to get all the pieces in place.

1.2 Challenges and dilemmas - Among SCG's challenges and dilemmas are

Funding

- How to raise funds from national sources to reduce dependency on international cooperation. International cooperation is mostly earmarked funding so how to get access to non-earmarked funding to match the earmarked funding. This is an issue that is addressed through the MDPP plan that includes the creation of a fund-raising unit.

Human resources

- Rotation of staff - in some cases caused by low salaries which again are strongly linked to the funding situation. Being member of an international alliance may produce requirements to employees similar to those employed in international organizations, while the salary level for national development organizations like SCG is much lower.

Management

- Financial reports on time – lack of an appropriate accounts system.
- Proposal development – the need for funding and the lack of capacity to write project proposals that attract funds

Advocacy

- How to turn practical child rights work at local level into advocacy targets at a national level (child rights programming)
- How to make pressure on the State being a small child rights civil society organization

In the SC context

- An increased tendency to assess the efforts of all SC members with the same parameters, the national SC member against other SC members working in the country or in other countries, rather than in relation to other similar local organizations.
- Possible expectations from other SC members to apply the SC model for unified presence, even though there is a national member in the country.
- The role of SCG in relation to other SC members operating in the country with or without physical presence.

1.3 Assumptions - For years there has been an assumption that SCG is good at program work in the field and that the weaker point is in the structure at the head office (management model and administration). There is also an assumption that SCG has been strengthened in their organization through the support from SCN and own efforts. Another assumption is that SCG still has a way to go before organizational sustainability has been reached. There are a lot of assumptions and subjective views for the good and the bad. Good decisions regarding further support from SCN to program work and organizational strengthening cannot be made on assumptions and hence there is a need for an objective and external assessment of the organization.

2. Purpose and objectives

2.1 Purpose - The main purpose of this evaluation is to have inputs to the design of future SCN support for program work and organizational strengthening of SCG after 2009, including an extension of MDPP cooperation for 2010-2011. The findings in this evaluation will in general serve as guidance upon deciding on areas for further cooperation between SCN and SCG after 2009.

2.2 Objectives - The main objectives of the evaluation is to

- focus on the indicators for the MDPP and give a systematic assessment of the *current status of SCG* including governance, management, finance and program
- indicate *to what degree and how SCN's support have contributed* to the current status of SCG and
- *identify needs* for future support to SCG in order to strengthen its capacity to implement quality programs
- assess the organizational capacity and potential for *scaling up* program activities and/or field presence
- *give recommendations to the focus, design and scope of future support* from SCN to SCG keeping in mind that the main objective for SCN's interventions is to strengthen SCG's capacity to produce positive changes for and with children in Guatemala.

Specific objectives

- assess the results from implementation of the MDPP plan, its strengths and weaknesses
- assess the organizational structure and the quality of internal services
- assess the capacity to implement and monitor programs at local and municipal level
- assess the capacity for advocacy work at national level
- assess the capacity to work in cooperation with local/national partners providing technical and financial support (with funds from SCN)
- assess the capacity to work in alliances

3 Scope and Key Evaluation questions

In order to produce information of a sufficient quality within acceptable time and resource frames, it will be necessary to draw a number of limitations with reference to the scope and direction of the evaluation.

This is an organizational evaluation of SCG and not an impact evaluation of SCG's programs. Assessments of performance in terms of implementation of projects will be done only to shed light on the organization's capacity and competence in implementing programs in line with SC standards and working principles.

In order to answer to the main and specific objectives of this evaluation, focus must be put on strengths and weaknesses with SCG's:

- organizational structure including management model and governance bodies
- working methods, alliances, partnership and the practice of child participation
- capacity of child rights programming
- the functions at the head office: management, finance, administration, institutional strengthening including marketing and fundraising, human resources and program activities,
- field offices: management and program activities,
- relation between head office and field offices,

The evaluation team should focus on SCG's head office and at least two of their four field offices for field visits. At each of the two field offices one project should be selected as case study to demonstrate performance in term of implementing programs and give a first impression of outcome. The project theme could be the same for both field offices or different in each one.

The main focus of this evaluation will be to determine the observable changes in SCG, and to identify the factors that have contributed to these changes of which one factor is the SCN's intervention. But furthermore, the evaluation should be able to give a rough idea of why, how and to what extent an intervention, like the one from SCN towards SCG, may in the end reach SCG's beneficiaries and produce changes. Since the data collection on project implementation is limited to two projects implemented by two field offices, conclusions will only be tentative, but may still be valid. It is important to underline also, that in complex social contexts, changes (or lack thereof) can be caused by a large number of factors, and program theory must be employed with caution when it comes to inferring and explaining causality. Issues connected to causality and attribution must however be acknowledged and commented upon.

The evaluation should relate primarily to the evaluation criteria relevance, effectiveness, sustainability and coherence¹¹, and consist of, but not neces-

¹¹ Ref. OECD/DACs evaluation criteria and the ALNAP guide for evaluating humanitarian action.

sarily be limited to, the following main components and underlying evaluation questions.

3.1 Development inputs - The evaluation should present an overview of the financial, human and other relevant resources employed in SCG. SCN/SCG will make an overview of the financial resources committed to the organizational strengthening/MDPP plan and thematic programs available for the evaluation team.

- How is the relation between the costs for running the organization and the program activities?
- What type and degree of human resources are committed to the various projects and programs, with reference to aspects such as knowledge, experience, capacity and competence? Do these human resources constitute a significant degree of added value in addition to the available financial resources?
- Are there any other types of resources that constitute an important input to SCG?

3.2 Organizational structures – The evaluation should describe the current model of management, finance, marketing and fundraising, as well as program implementation in SCG, and indicate to what extent and how the SCN intervention/MDPP plan contributed to the current organization and capacity.

3.3 Implementation strategy - The evaluation should, to the extent feasible, document actions taken or work performed through which inputs (such as funds, technical assistance and other types of resources) are mobilized to produce specific outputs, and how and to what extent intended beneficiaries were involved (employees when appropriate). The focus should be on the operational procedures SCG employed to transform inputs to outputs.

- How have the different components of the organizational strengthening/MDPP plan and thematic programs been implemented, and how closely has the implementation on the ground conformed to the plan or operational manual?
- Was the design and organization of the organizational strengthening/MDPP plan and thematic programs participatory, managed by a small group, or top-down? Who is involved in decision-making during the implementation phase?
- How does SCG practice working principles such as children’s participation in its program work ?
- How is local/regional/national government involved?
- Who has access to and/or uses the “services” and who does not?
- To what extent are SCG’s efforts aligned and coordinated with local needs, goals and working methods, as perceived locally?
- To what extent and how does the implementation strategy encourage and support the realization of SCG as a leading child rights organization in Guatemala?

3.4 Development outputs and outcome - The evaluation should assess the more immediate and visible results, and the likely or achieved short-term

and medium-term effects of an intervention's output (OECD/DAC definition of outcome) from SCG's work, even if the data collection is reduced to only two projects implemented by two field offices. The evaluation should give an impression of the relationship between inputs and outputs on the one side, and outcomes on the other both regarding the organizational strengthening/MDPP plan and the thematic programs.

- How is the rights based approach integrated into all SCG's activities, also in cases of service delivery?
- How and to what extent are the outputs designed to address identified needs?
- How have the outputs contributed to concrete outcomes which can be identified with reference to the stated aims, objectives and targets of SCG?
- Which concrete outcomes can be identified with reference to children as the intended end target group?
- How can the observable outcomes be assessed in relation to information about inputs, outputs, implementation strategy, and assumptions about the necessary steps in the process, the sequence and magnitude of the interventions, and the degree to which they have been implemented during the expected timeframe?
- How and to what extent has the cooperation with SCN affected the mobilisation of local funds, positively and negatively?
- How and to what extent has the cooperation with SCN strengthened SCG's ability and capacity to cooperate with other organizations, also with the authorities, and to influence societal development in ways that serve to increase the participation of children and their families to improve the fulfillment of children's rights.
- How and to what extent does SCG endeavor to secure the sustainability of the outcomes by establishing processes and mechanisms that serve to avoid dependence, financially, professionally and institutionally? To what extent have exit strategies been defined and/or put in place?
- Is it likely that the outcomes of the organizational strengthening/MDPP plan can be maintained also after SCN's support has been terminated?

3.5 Mediators and contextual factors - Most projects and programs are influenced by a range of factors that affect their implementation and performance. Mediators refer to the intervening variables potentially affecting project or program performance that can be modified by the project. Contextual factors affect performance but generally program implementers exert little or no influence or control over these variables.

3.5.1 Mediators - Which pre-existing socio-cultural characteristics of the target populations have had an influence on the performance and effectiveness of the organizational strengthening/MDPP plan and thematic programs?

- How and to what extent have factors connected to gender relations and the status and conditions of indigenous groups had an influence on outcomes of the organizational strengthening/MDPP plan and thematic programs?

- How and to what extent have the organizational strengthening/MDPP plan and thematic programs attempted and/or succeeded in addressing these socio-cultural characteristics?

The assessment should be undertaken from both a social and a cultural point of view, and include such factors as attitudes, traditions and practices.

3.5.2 Contextual factors - The evaluation should include a description and assessment of relevant and significant aspects of the socio-political context that is expected to influence the outcomes. The assessment should include central aspects of the political context, issues such as respect for fundamental political and civil rights, rule of law, degree of corruption and degree and level of decentralization could be included. Political culture should also form part of this analysis, with reference to factors such as social capital, trust, tolerance, patronage and expectations of the political system. The legal framework surrounding the existence of civil society, such as procedures for registration, legislation that hinders various types of activity, legislation that encourages the development of civil society, are all highly relevant factors. The evaluation should also include an assessment of the relationship between the state and civil society, including the degree of civil society autonomy, the degree and form of dialogue between the state and civil society, as well as degrees and forms of cooperation and coordination between the state and civil society. Moreover, the economic and social context is significant, such as level of poverty, conflict, economic and social crisis and degree of socio-economic inequality. Finally, the contextual analysis should include an assessment of environmental factors that may influence the program's performance. The contextual analysis should, to the extent feasible, distinguish between the national and regional/local level.

- Which aspects of the socio-political context have had the most significant and decisive influence on the effectiveness of the organizational strengthening/MDPP plan and thematic program?
- How and to what extent does the context present opportunities and limitations with regard to the success of the interventions and by extension the outcomes of the cooperation?
- To what extent have the organizational strengthening/MDPP plan and thematic programs been adjusted to this context?
- Is there any evidence that any of the organizational strengthening/MDPP plan and thematic programs have attempted to and/or succeeded in influencing the socio-political context?

3.6 Overall assessment and conclusions - The outcomes of NGO interventions are often to be found at the local level, and it is not likely that the efforts of one single organization should be observable at the national level. However, change at the national level may occur as a result of broad alliances and the collective effort of many civil society organizations, through direct cooperation with governmental institutions on structural reforms or changes, and/or direct advocacy work.

In the final part of the evaluation, the evaluation team should pull together the findings from the sections above, and present a tentative assessment of

and conclusions regarding the outcomes from SCG program and advocacy work at the national level. By aggregating outcomes at the project and program level, an overall expression of the level and degree of achieved outcomes should be made, bearing in mind the challenges associated with such aggregation. In order to assist such an assessment, a scoring matrix could be developed with reference to the selected projects and programs. The challenges connected to such an assessment, including the question of whether and to what extent changes at one level can be attributed to changes at another, should be thoroughly discussed and reviewed as a part of the evaluation.

- Overall, how have SCG managed to integrate a rights based approach into all its activities?
- Overall, how has SCG coordinated its activities with other donors than SCN? What types of challenges and dilemmas have SCG experienced in relation to this?
- To what extent has SCG been effective in achieving their goals and planned outcomes? What have been the main obstacles and challenges?
- How and to what extent can success or lack thereof be traced to or linked with SCG's implementation strategy?
- How and to what extent can success or lack thereof be traced to or linked with the organizational strengthening/MDPP plan?
- How and to what extent can success or lack thereof be traced to or linked with contextual factors and significant mediators as described above?
- Is there any evidence suggesting that the channeling of support from SCN to SCG have had any impact at the national level? How and to what extent has SCG formed alliances and cooperated to achieve such impact?
- Based on the findings in this evaluation, what should be the focus and scope of SCN support for SCG in the years to come?

4. Methodology and data collection

The evaluation team is responsible for applying the best methodological standards conducive to an unbiased final report of high quality, presenting comprehensive¹² conclusions and recommendations

The methodological approach must be presented and related to the evaluation questions. Furthermore, the suggested approach must contain a description of how the various levels with regard to input, output and outcome, are to be assessed and related to each other, and how outcomes can be related to and assessed in view of the mediators and contextual factors described in section 3.5. The presentation must emphasize advantages and limitations in connection with the chosen approach, for instance by comparing and contrasting it to other potential approaches. Finally, the approach should identify potential and present constraints, including factors such as budget, time, data and other.

¹² Comprehensive meaning that both positive and negative findings shall be documented.

The evaluation will include literature review¹³, interviews¹⁴, focus group discussions, and relevant outcome evaluation methodology. The evaluation must draw on existing information, research, and data, including progress reports, reviews, evaluations, sistematizations and studies undertaken by both SCN and SCG. (Results regarding SCG derived from the review of results from SCN's support to organizational strengthening to the 4 local SC members in the region [Dominican Republic, Guatemala, Honduras and Mexico] will be part of the evaluation if it coincides in time. If not, the results from the review will be used as inputs to this evaluation.)

Information should be validated, data quality assessed in a transparent manner which highlights data gaps and weaknesses. The data material underlying the analysis shall be made available.

The interviews should involve a broad spectrum of informants and stakeholders, including primary and secondary beneficiaries. Validation and feedback workshops shall be held in Guatemala, involving key stakeholders.

SCG staff should be invited to a workshop to learn about the evaluation process and methodologies applied. Children's participation is an essential part of SCG's work and the evaluation team is encouraged to include children's participation in the evaluation.

5. Evaluation team and stakeholders

5.1 Evaluation team - The evaluation will be conducted by an evaluation team, consisting of a minimum of three persons. Preferably, the team should consist of:

- One external consultant to be recruited in Norway
- One local external consultant to be recruited in Guatemala
- One internal SCG staff.

The evaluation team will be headed by the external Norwegian consultant, who will be responsible for writing the final report with support from his/her team. SCN and SCG will facilitate access to relevant documents and interviews, field visits, contact with partners, and facilitate children's participation.

¹³ **Background documents:**

- SCG strategy 2006-2010 (Planificación estratégica 2006-2010)
- SCG MDPP self assessment June 2005
- Review of market development programme partners self assessment (MDPP self assessment) February 2006
- Cooperation SCN/SCG agreement/budgets/annual plans and reports
- MDPP plan and agreement March 2007 /Milestone updates
- Evaluation reports
- Final report from the Initiative process in SCG
- Internal manuals
- Review of results regarding Guatemala derived from the support for organizational/institutional strengthening to the 4 local SC members in the region (Dominican Republic, Guatemala, Honduras and Mexico). *Postponed.*

¹⁴ Include SC Denmark regarding cooperation with partner organizations

Qualifications:**The team leader**

- Documented experience with leading evaluations of comparable complexity and magnitude.

The team

- Experience and knowledge in carrying out similar evaluations, reviews and/or research, using social science theory and methods
- Thorough knowledge of the role and functions of civil society and NGOs in the development process
- Good knowledge of rights-based approaches.
- Good knowledge on organizational development.
- Good knowledge of Guatemala generally, including familiarity with the socio-political context and the role of civil society in the country.
- Ability to work within set deadlines, and to write concise reports.
- Gender balance in the team is an asset.
- Languages: English and Spanish.

5.2 Stakeholders - The main stakeholders of this evaluation will be SCN. Other obvious stakeholders is SCG (governance structure and employees), as well as their target groups (children) and partners in Guatemala, and other donors. Furthermore, national and regional/local authorities in Guatemala should be included among the relevant stakeholders, as well as the Norwegian Embassy.

SCN is responsible for producing an internal follow-up plan according to SCN manuals, in close cooperation with SCG.

6. Working plan and budget**6.1 Tentative work plan**

| ACTIVITY | DEADLINE |
|---|-----------------------|
| Evaluation proposals | June 2008 |
| Contract signature | July 2008 |
| Field visit Guatemala | September 2008 |
| End of field visit wrap up/validation work shop | By end of field visit |
| Draft Final Report | 15 October 2008 |
| Final Report | Mid November 2008 |
| Printing, distribution | End November 2008 |
| Presentation Seminar in Norway and follow-up plan | |

6.2 Tentative budget

Q 150,000 / NOK 115,500 (SCN 2008 fixed rate) are set aside for the evaluation within P-200150 Capacity building - SCG 4 weeks * 3 persons (2 weeks of fieldwork). In addition, SCN will cover all travel and other costs in connection with the field visit to Guatemala.

7. Reporting, evaluation management and organization

7.1 Management and organization - The evaluation will be carried out by the evaluation team headed by an independent consultant contracted by SCN according to standard procurement procedures. Evaluation management will be carried out by SCN. All decisions concerning ToR, draft report and final report will be taken by SCN. Any modification to the ToR is subject to approval by SCN. The evaluation team is entitled to consult stakeholders pertinent to the assignment, but it is not permitted to make any commitment on behalf of SCN.

A reference group will be established, chaired by SCN, to advise and comment on the evaluation process and the quality of products.

It is essential to SCN that the evaluation is a *learning process* for the SCN staff, SCN Head Office, partner organizations and in this case SCG. Hence, the team will conduct an evaluation process which facilitates involvement and learning. Opponent views on conclusions and recommendations internally in the evaluation team and/or from the SCN and/or SCG staff should be summarized in the final report.

7.2 Selection of evaluation team

SCN will select the team based on expression of interest from potential consultants. Such expression of interest should present a short plan for the evaluation confirming ability to deliver according to the purpose and objectives as well as the suggested work plan in this ToR. The expression of interest should also include a tentative budget for the evaluation, specifying fees.

7.3 Reporting – The final report will be in English. Translation to Spanish will be arranged by SCN. The team should validate its findings with SCN-Guatemala and SCG by the end of the field visit. The draft final report should be presented to selected stakeholders for validation of findings and will be discussed in a meeting of the reference group in Oslo where the team leader will participate. The evaluation team must take note of the comments that are presented. In instances of significantly diverging views between the evaluation team and stakeholders, these should be reflected in the report.

The final report will be submitted to SCN for approval. Find specifications to the design and content of the final report in Annex 1.

ANNEX 1:

Specifications concerning the final report

1 General information

The report shall be developed in two phases: a draft final report and a final report.

The draft final report shall contain all the main elements and major arguments, findings, conclusions and recommendations that are to appear in the final report. The draft final report shall follow the same outline as the final report.

The report shall strive to convey its insights in an informative, clear and concise way. Professional terminology shall to the extent possible be

avoided, or at least defined. The report shall be delivered edited, language vetted, and proofread, ready to be printed. Nevertheless, SCN does retain the right to make linguistic and numerical corrections, including editing that does not change the contents of the report, in which case the consultant shall be informed.

The report shall normally not exceed 40 pages, annexes excluded, which demands giving explicit priority to the descriptions, insights, findings, conclusions and recommendations to be included in the report. Footnotes should be kept to a minimum. The final report should include an executive summary of 3-4 pages.

The text shall preferably be written in Microsoft Word. The font of the body matter shall be Times New Roman 11 points or equal. The margins shall be 2.5 cm.

Each report shall be submitted to SCN in a paper copy and electronically.

2 The content of the report

The report shall be structured as follows:

Front page/title page

The front page shall contain the title of the report

The name of the firm(s) responsible for the report

The name of the persons having worked on the report, including, if preferred, the specification of who has been the team leader

The following information to be included at the bottom of the page: “Responsibility for the contents and presentation of findings and recommendations rest with the evaluation team. The views and opinions expressed in the report do not necessarily correspond with those of SCN”.

Table of Contents

Abbreviations

Executive Summary

The report shall contain an executive summary of maximum 4 pages. Particular emphasis must be put on the main findings and recommendations. It should function as an independent excerpt, and not refer to other parts of the report.

Introduction or Background

Presentation of the evaluation’s purpose, questions and expected usage. The introduction should include a presentation of the intervention to be evaluated, and situate it with reference to policy documents. The description of the object or evaluated intervention should contain: its purpose, logic, history, organization and stakeholders, as well as an overview of budgets involved.

Chapters containing methodology and analysis

There should be a thorough description of the methodology involved, either as a separate chapter or as a distinguishable part of other chapters of the report.

Chapters presenting findings and conclusions

The findings, preferably focused on results, and the conclusions should be well documented in the preceding chapters.

Recommendations

The number of recommendations should be limited, and be based on the preceding analysis, findings and conclusions. An effort should be made to present recommendations that are realistic, and define where responsibility for the follow-up of the recommendation should lie.

Annexes

- Annex 1: Terms of Reference
- Annex 2: List of institutions and persons consulted
- Other annexes may be added at the discretion of the consultant

Bibliography/References

The report shall contain a full bibliography of works referred to or cited, organized alphabetically.

Appendix 2

Save the Children Guatemala Organisational Development Plan to Promote Children's Rights at a Country Level: MDPP Program and Budget Description

Executive Summary

Appendix 2

The purpose of Member Development Program Partners (MDPP) is to support smaller Members of the International Save the Children Alliance (Alliance) with limited fundraising potential in their own countries to become Strong Members and to deliver greater impact for children. The MDPP Program includes pairing Members of the Alliance to achieve the Stronger Member goals.

In 2005, SCG completed a self assessment process with a view to achieving partner status within the Market Development Programme Partners. In February 2006, a review was undertaken by Alexis Chapman and Alberto Soteres who were commissioned by the International Save the Children Alliance to follow up the self assessment. The recommendations of this review are included in the present Program.

The MDPP Programme described in this document include a Programme description entitled "SCG Organisational Development to Promote Children's Rights at a Country Level" (Section 3) and Budget (section 4). The responsibilities of the Save the Children Norway (SCN) as the MDPP Funder and Save the Children Guatemala (SCG) as the MDP Partner and how they will jointly contribute to SCG achieving its Stronger Member goals, are described in Section 1.

SCG achieved an income of US\$1,540,328 in 2006 and estimates an income of **US 1,506,842** in 2007. By 2010 SCG estimates that it will achieve an income of over US\$2.5 million, exceeding the Strong Member Criteria. No one donor accounts for more than 51% of the total annual income at present, and will not be for more than 45% in 2011.

SCG's strategic competitive advantage is that it is recognised as a leading national organisation representing children's rights and the only child focussed organisation that currently can achieve a truly local presence at national level. SCG's competitive advantage in the future is that it will actively strive towards adopting Alliance Best Practice, strengthening advocacy, fundraising, communication, quality education, and program methodology that will allow delivering programmes with stronger impact becoming more effective than other organisations in fulfilling the rights of children. An example of that is the current implementation of Rewrite the Future.

Children and adolescents living in the country are exposed to a series of dangers that make them particularly vulnerable and limit the fulfillment of their rights. SCG considers that helping local governments to apply Rights-based Programming, is the way in which it can have the most positive impact. That is why with this program we intend to strengthen the organiza-

tional development of SCG so that we can develop a strategy at a national level which supports Alliance Global Strategy and leads SCG towards Alliance Best Practices in order to increase the levels of investment for children that are possible only through fundraising at international levels.

To fulfil its objectives as a national organisation SCG needs to develop its branch network. It needs to support its growth with professional functional management and departments in monitoring, marketing (including fundraising and communication), HR (Human Resources) and Information Technology, as well as to continue selecting Board members based upon merit and skill. The fundamental objective of SCG is to advocate and fulfil the rights of children. However it is currently constrained from increasing its programme capacity by the lack of investment in its organisational development (particularly in marketing and fundraising).

1. The MDPP Programme

1.1 Purpose and strategy

The purpose of Member Development Program Partners (MDPP) is to support smaller Members of the International Save the Children Alliance (Alliance) with limited fundraising potential in their own countries to become Strong Members and to deliver greater impact for children. The MDPP Program includes pairing Members of the Alliance to achieve the Stronger Member goals.

This will be achieved with the support of an MDPP Funder providing program and organizational development funding, technical assistance and mentoring to an MDP Partner. In return, the MDP Partner makes a commitment to a phased organizational development plan which leads to the achievement of the Strong Member goals. The specific terms of collaboration and the description of the MDP Partner's and the MDPP Funder's responsibilities are administered in accordance with an MDPP Program Agreement and Program Description and Budget as agreed to between the parties.

This document will serve as the project document between the MDP funder (SCN) and the MDP Partner (SCG). Section 3 specifically outlines the responsibilities of each organization including the specific objectives for the project. Section 4 presents the budget.

1.2 Responsibilities of SCN

SCN agrees to the following:

- To fund the MDPP Programme Proposal – as described in the Agreement
- To nominate an MDPP relationship manager who is responsible for overseeing SCN's role in the partnership.
- To provide the following accompaniment for February 15, 2007 – to February 15, 2009 as requested by SCG (a plan for the accompaniment will be prepared by June 2007):
 - Detailed Plan for the accompaniment will be prepared by June 2007
 - Marketing and fundraising
 - Monitoring and Evaluation
 - Human Resources
 - Information and Communication Technology (ICT)
 - Financial Management
 - Organizational and Board Of Directors (BOD) development
 - In 2008 decision will be taken for the follow up of the agreement

1.3. Responsibilities of Save the Children Guatemala (SCG)

SCG agrees to the following:

- To deliver the objectives of the MDPP Program as outlined in section 3 of this document
- To make a commitment to achieve the milestones outlined in the program description (section 3 of this document) and by 2010 to achieve the Strong Member goals which are currently:

Financial Stability

- Total income in excess of US\$2.5 million per annum
- No single income source greater than 45% of total income
- Private income in excess of US\$0.4 million per annum

Market Position

- Aiming to be the largest child-focussed NGO in the country and a national reference point for children's rights

Strong Governance and Management

- Compliant with Alliance Best Practice in governance, leadership and management

High quality programmes

- Making an active contribution to Save the Children international programme portfolio, specially to Rewrite the Future
- Implementing a quality domestic programme strategy

1.4. MDPP Program Monitoring, Evaluation and Reporting

SCG agrees to provide mid-term program reports and quarterly financial reports as stipulated in the signed agreement between SCG and SCN. The reporting requirements include program and financial reports covering the following

- Progress towards achieving the outcomes for the MDPP Program
- Progress towards reaching the milestones of MDPP Program
- Identifying any constraints towards achieving these goals
- A detailed financial report

The outcome of Monitoring, Evaluation and Reporting will be one of the following:

- Next year's funding approved
- Mutual Agreement between Funder and Partner to adjust the terms of the agreement
- Termination of the agreement

2. BACKGROUND ON GUATEMALA AND SCG

2.1. Country Profile

| Background Information for 2007 | |
|---------------------------------|---|
| Area | 108,890 sq km |
| Population | 14,000,000 (projected for 2007) |
| Capital City | Guatemala (pop 2,205,000) |
| People | Ladina 58.3%, Mayan 41%, Xincas 0.7%, Garífuna 0.4% Other 0.6% |
| Language | 60% Spanish 40% Mayan |
| Religion | 50% Catholic, 35.5 Evangelic, 11.5% None, 2% |
| Head of State | Mayan, 1% Other Lic. Oscar Berger Perdomo (until January 2008) |

Physical. Guatemala is located in Central America, south of Mexico. It covers a similar area than one third of Norwegian territory. It has a tropical climate that is hot and humid in the lowlands and cooler in the highlands. Guatemala has a terrain covered with mountains, narrow coastal plains and a rolling limestone plateau. There are 27 volcanoes in the country, 19 of which are live or active. Natural resources include petroleum, nickel, chicle, fish, rare woods, and hydropower.

People. Guatemala is one of only a handful of Latin American nations with a significant indigenous population. Ethnically, the country is widely Mestizo (mixed Maya-Spanish) and Maya. Spanish is the predominant language. The majority of Guatemala's 24 different ethnic-linguistic groups can be traced back to the great Mayan civilizations that existed prior to the Spanish conquest. The most numerous of those are 21 Mayan groups (including the K'iche', Kaqchikel, Q'eqchi' and Mam people). The remaining three groups are the Spanish-speaking Mestizos (known as Ladinos in Guatemala) and the Xinka and Garífuna peoples. Meanwhile 23 mayan languages are prominent in many areas as well including Quiche, Cakchiquel, and Kekchi.

Government. The country is officially known as the Republic of Guatemala. Beginning in 1960, a civil war erupted with the guerilla movement enlarged by Indians through radical clergy recruitment, the excesses of the armed forces, and the loss of ancestral lands. This 36-year armed conflict came to an end with the signing of Peace Accords on December 29, 1996. The 1985 Constitution calls for election of a one-term president, a unicameral congress, and municipal officers. Popular confidence in the democratic system is very low. Rates of political participation are the lowest in Latin America with an average voter turnout of 29.6% during the 1990's. There are 9 major political parties. Universal suffrage at 18.

Ethnic relations between the descendants of Maya and whites and Ladinos have contributed to the country's history of unrest. Policies have kept the

indigenous population subordinate through social, political and economic deprivation. Between 1870 and 1920 with the seizure and purchase of lands, native populations were denied sufficient lands to cultivate. In the rural areas, half the people have a diet well below the minimum daily caloric intake established by the Food and Agriculture Organization. Marginal malnutrition is endemic. Two-thirds of the children live in poverty. Infant mortality and malnutrition rates are among the highest in the region.

Economy. Last census in 2002 show that 57% of the population live in poverty. The most critical areas of poverty are found in the north and north-west where poverty levels reach 93%. Only 3.4 million people in Guatemala are formally employed with another 8 million either working informally or unemployed. Recent privatizations of state services and enterprises such as electricity, telecommunications and the postal service have increased unemployment and suspicion. The country's current economic policies have channelled benefits to the country's privileged sector. Although the peace accords of 1996 called for distributing land to peasant farmers, more land has in fact been concentrated in fewer hands. Additionally, there has been a massive exodus of the Guatemalan working population to foreign countries.

Areas of Concern. With 57% of the population in poverty and half the rural population suffering from malnutrition, illiteracy and infant mortality are among the highest in Central American. Broad ranges of atrocities against the Mayans were attributed to the military, but the government has done little to implement the recommendations of the Peace Accords. Violence remains endemic in the country with a death toll of 3,000 in 2005, much of it gang related. Environmental concerns include deforestation, soil erosion and water pollution.

Health and social issues

Annual growth rate: 2.63%

Life expectancy: Men, 64 years; women, 66 years; native population, 44 years

Infant mortality: 36.9 deaths per 1000 live births

1 physician per 2,356 people

HIV/AIDS rate in adults: 1.1%

70.6% of adults are literate

Compulsory education (ages): 7-14; free

Government

Guatemala is a constitutional democratic republic that gained independence from Spain on September 15, 1821

President Oscar Jose Rafael Berger is both head of state and government

Military expenditures are 0.8% of the Gross Domestic Product (GDP)

Current disputes: border disputes with Belize

2.2. Save the Children Guatemala - Vision, Mission and Strategy

Our **Vision** – “Save the Children Guatemala fights for the fulfilment of the rights of Guatemalan children.

Our **Mission** – “We are Save the Children Guatemala, an organisation of qualified men and women, committed to the promotion and realisation of the rights of children and adolescents. We focus our efforts on influencing the State and the Civil Society in order to enable children to live in an environment of respect, equity and solidarity, where their rights are fulfilled.”

Our **Strategy**: We work with organizations of children and adolescents, women’s organizations and leaders at community and municipal level, and state entities in order to influence the State and the civil society to include children and adolescents’ issues in their work agendas, promoting the fulfilment of their rights.

In 2005, SC Sweden funded the services of an external consultant to support SCG in the formulation of its strategic work plan. SCG staff members, both from the field and Head Office, defined the 2006-2010 Strategic Plan in a participatory manner. This comprised re-defining the Vision and Mission as a child rights non-governmental organization. This plan includes several elements of SC’s “Best Practices” in several components. In addition, the results and indicators under the strategic objective of “Organisational Strengthening” define the framework for the MDPP Agreement, which should, in turn, become a support mechanism to achieve the ambitious results presented under “Organisational Strengthening”, which addresses SCG’s development as a strong member of the Save the Children Alliance.

The 2006-2010 Strategic Plan sets forth four strategic objectives, which in turn, become the four working areas:

- Promotion and Fulfilment of Children’s Rights
- Civic Participation and local authority (Participación ciudadana y poder local)
- Generation of Knowledge and Technology
- Organisational Strengthening

2.3 Organisational Structure

SC Guatemala has a General Assembly, who elects the members of the Board of Directors, who elect the General Director. In 2004, significant changes were made to the way in which the Assembly was made up, since mostly SCG employees participated in it, with 16 representatives (66%) and 8 (33%) honorary members, who were the minority. Currently, the Assembly is made up by a minimum of 12 honorary members (75%) and a maximum of 4 active members (25%); the General Director, the Programme Manager, a representative of Programme Directors and a representative of technical and administrative staff. The profile of honorary members was improved, for a total of 15 well-recognised individuals that represent different sectors of the Guatemalan society (children, indigenous populations, women’s groups,

entrepreneurs, university staff, culture and arts), in compliance with the Alliance's best practices on governance.

The General Director (Amilcar Ordóñez) is supported by the Technical Commission, which is made up by four management representatives: Programme, Finance, Human Resources and Organisational Strengthening. The last two were created in 2004.

Programme Directors are in charge of implementing actions at local level, to contribute to the achievement of the strategic objectives of Promotion and Fulfilment of Children's Rights and Civic Participation and Local Authority.

The Technical Commission and the Programme Directors meet regularly to review and monitor the implementation of annual work plans, strategies and processes to improve and carry out effective actions for achievement of results.

2.4. SWOT Analysis

The following is a SWOT analysis for SCG:

| | |
|--|--|
| <p>Strengths</p> <ul style="list-style-type: none"> • Recognised as having a strong methodology on child and grown ups organizations at the community and municipal level, by other Guatemalan NGO and as a leading national NGO in the field of child rights. • Well established organisation with strong presence through local field offices • Since 1983 SCG has worked in over 50 out of the 333 municipalities of the country. • Experience in community development particularly in organization, leadership, the provision of productive projects, and school improvement • Acknowledged experience of getting relief efficiently into the field • Good experience working in multi-ethnic, linguistic and cultural settings • Strong experience in innovative educational programs • Board leadership and institutional support • Particular experience of working on child rights promotion • Professional and committed CEO and staff • Committed project coordinators managing good projects | <p>Weaknesses</p> <ul style="list-style-type: none"> • Needs to be better recognised as a national reference point for children’s rights and an effective lobbyist for children’s rights • Needs better research and information work • Under-investment into fundraising • Limited functional expertise in research, marketing, fundraising, Information and Communication Technology • Insufficient organisational development innovation and entrepreneurship due to lack of sufficient resources |
| <p>Opportunities</p> <ul style="list-style-type: none"> • Attracting a more balanced portfolio of investment funding, particularly from foreign foundations and companies • Becoming financially self sufficient • Confirming position as number one child focussed organisation in the country • Full participation in the education key challenge • Potential for regional cooperation with Alliance members • Communicating more creatively to all of its stakeholders • Improving the use of technology to become more effective • Becoming the only representative of the SC Alliance in the country • Becoming a strong member of the SC Alliance | <p>Threats</p> <ul style="list-style-type: none"> • Inability to generate sufficient income to become a strong member • Insufficient unrestricted income • Under-investment in Organisational Development • Growing competition from other NGO’s • Other SC Members undermine SCG positioning in the country through working directly in Guatemala, instead of working to strengthen SCG |

2.5. Competitive Position

SCG's current competitive advantage in the country is that:

- It is recognised as a leading NGO representing children, including international organizations
- As a Member of the International Save the Children Alliance it is part of one of the leading International organisations representing children.
- It is a leading member of the most important national network working on children's rights, education and development

The competition to be the leading organisation representing children in Guatemala has grown in the last year because there is a growing number of NGO's competing for a share of the available funding and many of them are achieving a high profile without having SCG's program capacity. SCG is the organisation best placed at this time to establish itself as the national organisation fulfilling child rights based programming, although it needs to develop its capabilities in marketing and fundraising, research, human resources, administration and technology.

2.6. Governance

The bylaws of SCG include a full set of Board responsibilities. This document was recently reviewed to produce more specific areas of responsibilities for the Board and to incorporate some changes that need to be approved by the Guatemalan Attorney General to comply with the Best Practice of Governance.

The Board usually meets officially four times a year, not counting the extraordinary meetings, to approve annual plans and review the impact of the projects in the fields. Other meetings with local and foreign visitors are scheduled on a regular basis. The elections of the members of the Board are coordinated between the Board of Directors and the Members of the General Assembly. The procedure is clearly laid out in the bylaws.

SCG has a Board of Directors chosen on merit, skills and reputation. There are seven Board Directors and they are elected by half every 2 years with the possibility of being re-elected for another period.

An Audit Committee is represented by the Treasurer, who is the person selected by the General Assembly to supervise the auditing process.

Clear job descriptions for the Board, are well defined in the bylaws. These bylaws are in compliance with national laws.

The Board of Directors monitors performance and evaluates the Chief Executive according to the Yearly Plan. In compliance with the bylaws, every three years the BOD has to either confirm or separate the CEO from office. However, a specific monitoring and evaluation procedure with its instrument is needed as part of the best practices and MDPP outcomes.

The BOD has committed to support to achieve the Strong Member targets.

3. “SCG ORGANIZATIONAL DEVELOPMENT TO PROMOTE CHILDREN’S RIGHTS AT A COUNTRY LEVEL”. PROGRAM DESCRIPTION- SCG-SCN MDPP

Background:

A SC programme was established in 1976 in the aftermath of major earthquake damage. It was set up as a joint operation involving a number of major Save the Children organisations. A national NGO was established in 1983 and International partners left the country right after that. SCG operated independently through the majority of Guatemala’s period of armed conflict and this context has significantly shaped its development as an organisation. From 1987 onwards, other Save the Children members returned to the country and by 2000, SC US, Norway, Denmark, Sweden and UK were operating alongside SCG in Guatemala.

In recent years this trend has started to change. In 2003 SC Denmark (SCD) closed its office in Guatemala, and negotiated an agreement with SCG, through which it now represents its interests in Guatemala and provides technical and administrative support to SCD’s partners in the country. SC UK never operated an office in Guatemala and never supported SCG formally, but supported other NGO in the country. However, due to its phasing out of Latin America, it is ending its operations in Guatemala during 2007. In the case of SC Sweden (SCS), it closed its office in Guatemala at the end of 2003, and reduced by 50% its financial commitment and the number of partners in Guatemala. SCG has a working agreement with SCS that ends in December 2007. SCS interests beyond 2007 are not yet clear. Furthermore, as part of its regional strategy, SCS has established direct relations with other Guatemalan institutions, without coordinating directly with SCG.

SC Norway has committed to leave Guatemala by 2009 and is planning a three year process of withdrawal. This leaves SCG and SCUS operating alongside one another within Guatemala. The two agencies base their programme of work on a shared situation analysis and cooperate in certain areas such as the emergency response in 2005 and the development of education work in response to the global challenge. SC US has been informed already about the present MDP-P process and SCG’s efforts to become a strong member of SCA, and therefore SCG expects SC US to start conversations about its interests in Guatemala very soon.

In the period from 2000 to 2006, SCG has been through a major organisational change. It has already evaluated its first Organizational Development plan 2003-2005 with the SCN support.

Since Guatemala has been chosen as an Education Key Challenge Country, and SCG as the lead agency, in order to successfully help children have access to better education, as well as childhood development, SCG is already implementing an ambitious education program and plans to improve it with other Save the Children funds. The intention is to now further develop SCG in order to have greater capacity to promote education and children’s complete welfare. SCN will do that by strengthening our organization in advocacy, research, marketing and program management so that we can better promote the issues that children face, fundraise for them and develop programs that help them.

The MDPP Program: To support SCG's organizational capacity and improve programs that they currently offer in support of vulnerable children, this MDPP proposal is discussed between the Save the Children Norway Country Representative and the Save the Children Guatemala Chief Executive Officer. The Board of Directors of Save the Children Guatemala is committed to support the agreement. The agreement will commit SCN to supporting SCG over a three year period in its efforts to become a stronger member with a minimum of \$ US100,000 per year over for the period ending February 30, 2009. An extension of the agreement will be discussed in 2008.

The objectives of this program are as follows:

General objective:

- To strengthen the organizational development and capacity of SCG in order to fulfill its mission and meet the Strong Member Goals (Section 1.3)

Specific objectives:

1. SCG meets the Financial Stability and Market Position Strong Member goals by 2011
2. SCG improves its capacity to measure and evaluate all of its programs
3. SCG develops and implements a human resources plan
4. SCG improves its ICT capabilities.
5. SCG improves its financial management systems.
6. The SCG BOD is strengthened and supports the organizational development.

Strategy

In order to improve SCG's ability to implement effective programs for children and have a greater impact on their lives, SCG will implement a strategy in which the key elements are:

- Invest funds in key areas that will improve organizational capacity to develop SCG as an organization and achieve the Strong Member goals
- Draw on SCN and other Alliance member experience in technical, administrative and financial areas
- Better measure and evaluate programs to support our advocacy
- Communicate the results of SCG programs and research and to use it to help our fundraising activities
- More fully use technology to improve SCG's efficiency

A results framework for this project is described as part of the budget.

The SCG management team does not currently have the appropriate level of skills and available time to achieve the goals of becoming a Strong Member without making targeted improvements in several areas. There is a need to develop stronger functional departments in the following areas:

- Marketing and Fundraising
- Monitoring and Evaluation (including Research)
- Human Resources
- Information and Communication Technology (ICT)
- Financial Management
- BOD Development

While the primary focus of the MDPP agreement will be to support SCG in Marketing and Fundraising, and Financial Management, the other areas will support overall strong member goals. Also, the MDPP program intends to support on-going efforts by SCG management and BOD in developing the strategic plan which was initiated in 2006.

The following areas will be the main programmatic areas of the MDPP agreement between SCN and SCG:

3.1. Organisational Strengthening

SCG does not have a professional marketing and fundraising operation.

However, some steps were taken forward, such as an assessment to analyze our actual capacity to move into the fundraising experience. We also have a Fundraising strategy (Draft document) to concrete with the responsible person coming soon.

In order to achieve our Strategy Plan and MDPP objectives, specially about financial stability, SCG needs to develop its marketing ability specially for fundraising and management, strength the BOD functions, motivate and support the “Comité de Amigos” (SCG’s Friends – Fundraising Committee) and develop our local Searching Funds Plan.

Particular emphasis will be given to researching potential private sector sources of support such as individuals, Guatemalan corporations, Guatemalan foundations and trusts, and the broader Guatemalan public. Targeting these groups is something that has not been done to any scale in the past.

International Organisations

International Organisations have been important sources of funding for SCG. In the future, organisations such as the World Bank, the UN and the EU represent opportunities for additional relationships and funding.

Foundations and Trusts

SCG has not previously targeted Foundations and Trusts as a source of Funding. This potential area of funding will need focussed research and a higher standard of proposal writing.

Companies

There is currently an opportunity to secure a share of the corporate social responsibility funds that foreign and local companies are offering. Researching possibilities with Guatemalan foundations and companies will be included in the responsible person for Fundraising functions and SCG will assist in identifying these opportunities. SCG staff has participated already in Social Responsibility and Social marketing workshops organized by SCN and SCS.

Individuals

Recruiting members and collecting donations from individuals is the opportunity advised by a Mexican consultant company since 2005, and is the base for the annual campaign that we include in the funding Plan. There is a relatively large Guatemalan population who could be able to donate for children's welfare.

Government

The Government could be a core source of funding for SCG's work but it is unreliable as funding can be promised and not paid, or not paid until the project is complete and even then not fully paid. Clearly the Government has a primary responsibility for funding the work that SCG is doing but it is not reliable in terms of its ability to fulfil its obligations at this time.

Media Relationship

We appreciate the relationship we managed with the media during 2006, which gave us the opportunity to strength our position as a national leading organisation representing children's rights. This relationship with the media is also an opportunity for our fundraising activities through and for our specific projects.

Audiences

The main audiences that SCG need to communicate with are:

External

- Funders: Government Departments, International Organisations (World Bank, UN, EU), Foundations and Trusts, Companies, Individuals (high net worth and individual members)
- Partners: Other NGO's and other organisations
- Influencers: Celebrities, Television, Radio, Newspapers
- Care Givers: Head Teachers, Teachers, Specialists, Parents, Carers.
- Children: At schools and communities.

Internal

- SCG: General Assembly, Board, "SCG's Friends" (Fundraising Committee), Technical Commission, Branches, Field Employees, Volunteers, children of groups.

SCG's communication plan needs to define key messages and media channels in order to support the achievement of the advocacy, research, fundraising and brand awareness objectives. Media channels will likely include; advertising, PR, events (awards ceremonies, conferences, seminars), electronic (web, e-mail, fax), print (annual report, newsletters, brochures), telephone, discussion groups. SCG intends to explore relationships with a range of advertising companies.

SCG agrees to the following milestones by 31st May 2007:

- Recruit and induct 1 Fundraising (FR) Coordinator
- Recruit and induct 1 Marketing and Communications Assistant

SCG agrees to the following milestones by 31st August 2007:

- The Marketing and Fundraising Unit is established and functioning
- Incorporate into the marketing unit plan a communications plan and strategy
- Private companies are contacted and proposals are presented to at least two of them

SCG agrees to the following milestones by November 2007:

- First FR campaign is carried out bringing an income in the range of \$75,000.00

SCG agrees to the following milestones by March 2008:

- Develop a Marketing and Fundraising Plan
- Contact with private companies continue; at least two of them support SCG programs in the range of \$7,000.00 and proposals are presented to at least two more of them

SCG agrees to the following milestones by November 2008:

- Second FR campaign is carried out bringing an income in the range of \$50,000.00
- Contact with private companies continue; at least two more of them support SCG programs in the range of \$15,000.00

SCG agrees to the following milestones by December 2009:

- New activities for fundraising are designed and put into practice, including some at municipal level
- Systematic follow up with individual donors is carried out
- Third FR campaign has been carried out bringing an income in the range of \$50,000.00
- Campaign approach has been systematized and evaluated
- Contact with private companies continue; proposals are presented to at least two more of them and decide to support SCG programs in the range of \$8,000.00
- Private companies' approach has been systematized and evaluated

3.2. Financial Management

SCG has continued to work on improving its financial accounting and management system, including purchasing more current accounts software, and will incur most of the costs around improving the software which should be updated to reduce the manual processing, and the inaccuracies that it generates.

In order for SCG to meet the goals of strong membership as defined by the MDPP, SCG needs to complete this software upgrading and update the system, thereby enabling for efficiency and increased management information and project accounting information.

SCG agrees to the following milestone by 29th June 2007:

- Hire an advisor to implement the financial management system,
- Hire the Audit firm to follow up every two months the implementation of Audit recommendations
- Develop a specific study to improve the Education Centre incomes

SCG agrees to the following milestone by 30th September 2007:

- To produce monthly financial statements for decision making purposes

SCG agrees to the following milestone by 30th March 2008:

- Implement and update accounts software, developing staff responsibilities, and training staff

SCG agrees to the following milestone by 30th June 2008:

- To develop and put into practice a Procedures Manual and maintain it constantly updated
- Software for national donors' control installed and operational at Head Office

SCG agrees to the following milestone by 30th December 2009:

- To improve the income of the Education Center by 15% in comparison to December 2006

3.3. ICT (Information and Communication Technology)

SCG currently does not take full advantage of information technology in managing its programs, in its financial systems, or in communicating with supporters and potential donors. In order to meet the MDPP strong member goals, SCG needs to develop an ICT Plan to strengthen the following for over the next 5 years:

- Hardware
- Software
- Networking
- Communication with donors

SCG agrees to the following milestones by 31st Dec 2007:

- Develop an ICT Plan 2008-2011

SCG agrees to the following milestone by 30th December 2009:

- All users of the Informatics System, at Head Office and Field Offices manage said system adequately taking full advantage of its potential
- Hardware and software totally updated and networking fully operative at Head Office and Field Offices

SCG will start implementation of the different aspects of the ICT Plan throughout the three years of the MDPP project, including procuring appro-

appropriate software and hardware, developing and maintaining our web-site, and hiring an ICT assistant to do this.

3.4. Human Resources

In order to meet MDPP goals, SCG needs to develop a HR Plan to strengthen the following areas over the next 5 years:

- Recruitment
- Training
- Performance Appraisal
- Personnel Development (including a leadership plan)

SCG is responsible for a growing number of people and now needs to have additional resources to manage them properly. Today there are 22 people in the Head Office in Guatemala City, 34 people in the Field Offices and 4 additional employees and volunteers working on Programmes at different times during the year. This number is estimated to grow because of the 3 new field programs which will open by April 2007, and the implementation of “Re-write the Future”

SCG agrees to the following milestones by 31st May 2007:

- Hire 1 assistant for HR Management
- Develop a HR Training Plan
- The sensitisation process to improve work with children (called “Iniciativa”) is followed up with all the staff

SCG agrees to the following by 30th August 2007:

- Implement a HR Profile manual including responsibilities and functions for every position

SCG agrees to the following by 30th November 2007:

- Develop a Performance Appraisal for all the programs and central office staff
- Staff Development and Promotion Plan

SCG agrees to the following milestone by 30th December 2007:

- Staff files completed and constantly updated

SCG agrees to the following milestone by 30th June 2008:

- SCG counts with standardized recruitment evaluation tests for every staff position as well as with an Staff Induction Manual

SCG agrees to the following milestone by 30th December 2009:

- SCG counts with qualified and efficient staff for every position at Head Office and Field Offices
- The sensitisation process to improve work with children (called “Iniciativa”) has been fully appropriated by all the staff
- A standardized and fluid recruitment process in place has improved the staff induction process and has ensured better performance for newcomers

- SCG counts with a standardized performance appraisal system that provides systematic inputs for performance improvement
- The systematic implementation of the Staff Development and Promotion Plan ensures motivated, stable and satisfied staff and allows SCG to count with qualified personnel for internal promotion purposes

3.5. Program Measurement and Monitoring

SCG is not using a formal Program Measurement and Monitoring system and would benefit from advice and training from SCN about the most appropriate system for it to adopt in the future. SCG will recruit a Sub- Program Manager to do qualitative and quantitative analysis of program methodology and impact. The results from this improved measurement and monitoring system will serve as inputs to the communications strategy as well as to an eventual advocacy strategy.

SCG agrees to the following milestone by 31st August 2007:

- Recruit 1 Sub-program Manager
- Identify current strengths, weaknesses, and needs in program monitoring and evaluation
- Develop an appropriate data base programme for monitoring quantitative advances.

SCG agrees to the following milestone by 30th November 2007:

- Incorporate the new data base programme for monitoring all projects
- Deliver appropriate accompaniment and training in monitoring and evaluation to program staff

SCG agrees to the following milestone by 30th June 2008:

- To start elaboration of quarterly monitoring reports and train responsible staff to conduct corresponding analysis

SCG agrees to the following milestone by 30th December 2009:

- Quarterly and annual reports systematically analyzed, serving as inputs for program decisions and adjustments, as well as for communications and advocacy actions

3.6. Board of Directors

While SCG has an active Board of Directors, their active leadership in supporting the organization in meeting the goals of the MDPP project will be vital. There is a need to inform and train BOD as well as communicate specific annual tasks in support of organizational development, Strategic Plan and MDPP.

SCG agrees to the following milestones by 30st April 2007:

- BOD has changed 50% of its members according to SCG's by-laws and SCA Best Practices on Governance

SCG agrees to the following milestones by 31st December 2007:

- To elaborate a “Development Plan” for BOD
- Review BOD’s role taking into account the Best Practice of Governance criteria
- To strengthen BOD’s commitment on fundraising activities
- To strengthen BOD’s participation at Alliance meetings, at regional and international levels.

SCG agrees to the following milestones by July 2008:

- BOD participates in review of advances of SCG’S Strategic Plan and provides appropriate feedback to SCG’s staff

SCG agrees to the following milestones by November 2008:

- BOD participates actively in Second FR Campaign and other fundraising activities
- BOD training activities are followed up

SCG agrees to the following milestones by July 2009:

- BOD participates in review of advances of SCG’S Strategic Plan and provides appropriate feedback to SCG’s staff

SCG agrees to the following milestones by 31st December 2009:

- BOD has changed 50% of its members according to SCG’s by-laws and SCA Best Practices on Governance
- BOD participates actively in Third FR Campaign and other fundraising activities
- The implementation of BOD’s development plan ensures that it is able to provide SCG with strategic guidance and promotes its positioning as a leading NGO in Child Rights at national level
- As a result of increased BOD’s participation at Alliance meetings, at regional and international levels, BOD gains better knowledge of Alliance strategies and provides timely feedback to Alliance structures.

4. Risk Management Strategy

It will be discussed with the Board during 2007.

4. MDPP Outcomes and Budget

ORGANISATIONAL STRENGTHENING

| ISSUE | EXPECTED OUTCOMES | 2007 | 2008 | 2009 | 2010 | 2011 |
|-----------------------------|---|------------------------|-------------------|-------------------|-------------------|-------------------|
| Fundraising Campaigns (3.1) | <ul style="list-style-type: none"> Since 2007, SCG is searching local funds and diversifying income streams, including a yearly fundraising campaign and support from private companies Publications for donors are well design and distributed | 70,000.00 19,468.00 | 79,000.00 | 54,000.00 | 54,000.00 | 54,000.00 |
| Fundraising Unit (3.1) | <ul style="list-style-type: none"> SCG hire responsible staff for fundraising: 1 Co-ordinator, 1 marketing assistant, 1 accountant secretary | 255,000.00 | 305,321.00 | 318,603.00 | 324,591.00 | 330,000.00 |
| BOD (3.6) | <ul style="list-style-type: none"> BOD is trained about its role according to the Best Practice of Governance. | 10,000.00 | | | | |
| | SUB-TOTALES | 354,468.00 | 384,321.00 | 372,603.00 | 378,591.00 | 384,000.00 |

FINANCIAL MANAGEMENT

| ISSUE | EXPECTED OUTCOMES | 2007 | 2008 | 2009 | 2010 | 2011 |
|--|--|-------------------|-------------------|-------------------|-------------------|-------------------|
| Advisor to implement the financial management system (3.2) | <ul style="list-style-type: none"> • SCG has an efficient global financial management system which allows its organizational development. • To produce monthly financial statements for decision making purposes • Implement and update accounts software, developing staff responsibilities, and training staff • To develop and put into practice by 2008 a Procedures Manual and maintain it constantly updated | 59,412.00 | | | | |
| Gradual upgrading and up date the software (ICT) (3.3) | <ul style="list-style-type: none"> • Develop an ICT Plan for 2008 - 2009 • Hardware and software totally updated and networking fully operative at Head Office and Field Offices in 2009 • Adequate advisory for implementing the accounts software and staff training | 112,280.00 | 119,434.00 | 121,878.00 | 121,303.00 | 113,065.00 |
| Auditor monitoring (3.2) | <ul style="list-style-type: none"> • Hire the Audit Firm to follow up every two months the implementation of Audit recommendations | 9,000.00 | 9,810.00 | 10,693.00 | 11,655.00 | 12,705.00 |
| Education Centre Study Remodeling 2° floor (3.2) | <ul style="list-style-type: none"> • SCG Education Centre improves its incomes on 5% every year | 30,000.00 | 5,000.00 | 5,450.00 | 5,940.00 | 6,475.00 |
| SUB-TOTALS | | 210,692.00 | 138,244.00 | 138,021.00 | 138,898.00 | 132,245.00 |

HUMAN RESOURCES

| ISSUE | EXPECTED OUTCOMES | 2007 | 2008 | 2009 | 2010 | 2011 |
|---|---|------------------|-------------------|-------------------|-------------------|------------------|
| Human Resources assistant (3.4) | <ul style="list-style-type: none"> Human resources administration is fluid Staff files completed and constantly updated | 26,380.00 | 29,015.00 | 31,919.00 | 35,111.00 | 38,622.00 |
| Staff Training (3.4) | <ul style="list-style-type: none"> Staff Development and Promotion Plan 2007 - 2009 By 2008 SCG counts with standardized recruitment evaluation tests for every staff position as well as with a Staff Induction manual | 16,960.00 | 71,700.00 | 61,457.00 | 45,969.00 | 40,000.00 |
| Human Resources processes (3.4) | <ul style="list-style-type: none"> Implement a HR Profile manual including responsibilities and functions for every position by 2007 The sensitisation process to improve work with children (called "Iniciativa") is followed up with all the staff by 2007 Develop a Performance Appraisal for all the programs and central office staff | | 16,720.00 | 26,000.00 | 21,431.00 | 14,165.00 |
| Human Resources qualified by 2009 (3.4) | <ul style="list-style-type: none"> SCG counts with qualified and efficient staff for every position at Head Office and Field Offices The sensitisation process to improve work with children (called "Iniciativa") has been fully appropriated by all the staff A standardized and fluid recruitment process in place has improved the staff induction process and has ensured better performance for newcomers SCG counts with a standardized performance appraisal system that provides systematic inputs for performance improvement | | | | | |
| Sub- totals | | 43,340.00 | 117,435.00 | 119,376.00 | 102,511.00 | 93,755.00 |

PROGRAM MEASUREMENT AND MONITORING

| ISSUE | EXPECTING OUTCOMES | 2007 | 2008 | 2009 | 2010 | 2011 |
|------------------------------|---|-------------------|-------------------|-------------------|-------------------|-------------------|
| Sub-Program Manager (3.5) | <ul style="list-style-type: none"> • Develop an appropriate data base program for monitoring quantitative advances. • Deliver appropriate accompaniment and training in monitoring and evaluation to program staff • To start in 2008 elaboration of quarterly monitoring reports and train responsible staff to conduct corresponding analysis • By 2009 quarterly and annual reports are systematically analyzed, serving as inputs for program decisions and adjustments, as well as for communications and advocacy actions • SCG counts with updated information about Children's Rights situation at a national level, as inputs for its annual planning activities. | 98,000.00 | 105,000.00 | 112,000.00 | 120,000.00 | 130,000.00 |
| | | 12,000.00 | 15,000.00 | 18,000.00 | 15,000.00 | 10,000.00 |
| | | 30,000.00 | 40,000.00 | 40,000.00 | 45,000.00 | 50,000.00 |
| | SUB-TOTALS | 140,000.00 | 160,000.00 | 170,000.00 | 180,000.00 | 190,000.00 |
| | TOTAL AREAS | 748,500.00 | 800,000.00 | 800,000.00 | 800,000.00 | 800,000.00 |

Appendix 3 People and Institutions Met

| Date | Institution | Person |
|--------|--------------------------------|---|
| 21.08. | SCN, Oslo | Annette Giertsen |
| 26.08. | SCN, Oslo | Anne Ma Grøslund |
| 02.09. | SCG, Guatemala | Amilcar Ordoñez, Director |
| 02.09. | SCN, Guatemala | Kari Thomassen, National Representative |
| 03.09. | SCG, Guatemala | Lisette Minerea, Head Institutional Strengthening Armando Secaira, Fundraising Coordinator |
| 03.09. | SCG, Guatemala | Edna Mejicano, Head Administration and Finance Josue Campos, Accountant Aura Hernandez, Administrator |
| 03.09. | SCG, Guatemala | Mónica Cabrera, Head Human Resources Brenda Donado, Assistant |
| 03.09. | SCG, Guatemala | Miriam Enríquez de Serech, Education Advisor Tania Santiesteban Yanira Batres Emeterio Cua |
| 03.09. | SCG, Guatemala | Nelson Oliva, Youth Advisor Julisa de Paz |
| 04.09. | SCN, Guatemala | Heliodoro Cumes Ingrid de Soto |
| 04.09. | SCG, Guatemala | Candida Rabanales, RTF Coordinator |
| 04.09. | SCN, Guatemala | Jorge Peck Saira Arévalo Julieta Senteno |
| 04.09. | SC USA, Guatemala | Patricia O'Connor, Country Office Director |
| 04.09. | Médicos para el Mundo, Jocotán | Isabel Saens |
| 05.09. | SCG, Chiquimula | Evelia Fagioli, Head Field Office Hugo Elías, Program coordinator municipal Luis Ramos, Secretario Contador |
| 05.09. | MINEDUC, Camotán | Jorge Monroy, CTA Rosario Rivas, CTA |

| | | |
|--------|---|--|
| 05.09. | School Los Encuentros | “Chepe” Portillo, teacher |
| 05.09. | Mayor’s office, Camotán | Manuel Suchini, Advisor to mayor |
| 05.09. | Office of Women, Children and Youth, Camotán | Mari Karol Rivera, Marta Avalos Technicians |
| 05.09. | MINEDUC, San Juan la Ermita | Blanca Rosa Morales, CTA |
| 05.09. | Municipality, San Juan la Ermita | Rolando Martínez, Mayor |
| 05.09. | Office of Women, Children and Youth, San Juan la Ermita | Ana Isabel de Martinez, Coordinator Claudia Mateo, Evanelia Gonzales, Lourdes Durán Technicians |
| 06.09. | Rio Dulce | Youth communicators |
| 06.09. | SCG Rio Dulce, Livingston | Alfredo Morales, Head Field Office |
| 06.09. | Community ‘Tierra y Libertad’, Livingston | Youth Promoters Early Stimulation Volunteers |
| 07.09. | Girls School, Livingston | Teachers |
| 07.09. | Livingston | Youth promoters |
| 07.09. | Community Radio, Livingston | Radio transmission, youth program |
| 08.09. | SCG Rio Dulce, Livingston | Karina García, Eliceo Chub, Anibal Chuc, Yesenia Ramirez.. Technical team |
| 08.09. | MINEDUC, Rio Dulce | Rubén Alfredo Mazariegos and Hector Arturo Carpio, Education Supervisors |
| 08.09. | Rayito de Amor, Livingston Conalfa, Livingston FUNDAECO, Livingston | Mirella Santiago Teq Matilde Chocoj |
| 09.09. | PENNAT, Guatemala Quality Group, Guatemala | Jairo Gonzales Carlos Sanchez |
| 09.09. | UNICEF, Guatemala | Jorge Mejía, Sub-Director Ana María Sánchez, Head of Education |
| 09.09. | Secretaría del Bienestar Social (previously Movimiento Social), Guatemala | Alejandra Vásquez |
| 09.09. | PAMI CIPRODENI | Mirella Saadeh Mariana del Aguila |
| 10.09. | Canalitos School, Guatemala | Elisabeth Gudiel, Director Celita, Lorena, Ramiro López, teachers |
| 10.09. | COINDE, Guatemala ASEDE, Guatemala | Demetrio Pérez Guido Calderón |
| 10.09. | MINEDUC, Guatemala | Virgina Tacam, Vice Minis- |

| | | |
|--------|-----------------------------------|--|
| | | ter Bilingual and Intercultural Education |
| 10.09. | Board of Directors SCG, Guatemala | Álvaro Pop, President Felipe de Jesús Ortega, Vice President |
| 10.09. | SCG Guatemala | Youth Coordinators (Rydy Renato Chic, Shadia García, Edwin Maquín) |
| 10.09. | SCG Guatemala | Williams Mazariegos, Deputy Head of Programs |
| 10.09. | COMUNICARES, Guatemala | Oneida Rodas, Director |
| 10.09. | SCG Guatemala | Amilcar Ordoñez, Director |
| 11.09. | Feedback and validation workshop | SCG SCN |

Appendix 4 Key Reference Documents

(In chronological order)

Alianza Para Desarrollo Juvenil Comunitario – Save the Children Guatemala. Diagnóstico Global Programático y Administrativo (Informe General de Consultoría). Servitec-Consultores, Guatemala febrero 2003. (In text referred to as the ‘2003 evaluation’)

Planificación Estratégica 2006-2010. Save the Children Guatemala. 26 de septiembre de 2005.

Save the Children Guatemala. Review of Market Development Programme: Partners Self Assessment. Alexis Chapman, February 2006.

Save the Children Guatemala Organisational Development Plan to Promote Children’s Rights at a Country Level: MDPP Program and Budget Description. Save the Children Guatemala and Save the Children Norway, Guatemala March 19 2007.

Terms of Reference: Organizational Evaluation of Save the Children Guatemala. Save the Children Norway, Oslo, May 2008.

Sistema de Información, Monitoreo y Evaluación del Programa. Save the Children Guatemala, Sub-gerencia de programas. (Powerpoint presentation) No date, probably mid-2008.

Propuesta de Estrategia de Recaudación Nacional: Save the Children Guatemala: Período 2008-2012. No date, probably August or September 2008.